



# Quarterly Statement Blank

For the Year 2019

Not for Distribution

Title

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National Association of  
Insurance Commissioners

# Official NAIC Quarterly Statement Blank

Title

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For the 2019 reporting year

Not for Distribution



National Association of  
Insurance Commissioners

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## TABLE OF CONTENTS

### QUARTERLY STATEMENT BLANK

Jurat Page.....	Q1
Assets.....	Q2
Liabilities, Surplus and Other Funds.....	Q3
Statement of Income.....	Q4
Cash Flow.....	Q5
Notes to Financial Statements.....	Q6
General Interrogatories.....	Q7
Schedule F – Ceded Reinsurance.....	Q9
Schedule T – Exhibit of Premiums Written.....	Q10
Schedule Y - Information Concerning Activities of Insurer Members of a Holding Company Group.....	Q11
Schedule Y – Part 1A – Detail of Insurance Holding Company System.....	Q12
Part 1 – Loss Experience.....	Q13
Part 2 - Direct Premiums Written.....	Q13
Overflow Page for Write-ins.....	Q14
Schedule A – Verification.....	QSI01
Schedule B – Verification.....	QSI01
Schedule BA – Verification.....	QSI01
Schedule D – Verification.....	QSI01
Schedule D – Part 1B.....	QSI02
Schedule DA – Part 1.....	QSI03
Schedule DA – Verification.....	QSI03
Schedule DB – Part A – Verification.....	QSI04
Schedule DB – Part B – Verification.....	QSI04
Schedule DB – Part C – Section 1.....	QSI05
Schedule DB – Part C – Section 2.....	QSI06
Schedule DB – Verification.....	QSI07
Schedule E – Verification.....	QSI08
Schedule A – Part 2.....	QE01
Schedule A – Part 3.....	QE01
Schedule B – Part 2.....	QE02
Schedule B – Part 3.....	QE02
Schedule B – Part 2.....	QE03
Schedule BA – Part 3.....	QE03
Schedule D – Part 3.....	QE04
Schedule D – Part 4.....	QE05
Schedule DB – Part A – Section 1.....	QE06
Schedule DB – Part B – Section 1.....	QE07
Schedule DB – Part D – Section 1.....	QE08

**TABLE OF CONTENTS (Continued)**

---

Schedule DB – Part D – Section 2 .....QE09  
Schedule DL – Part 1 .....QE10  
Schedule DL – Part 2 .....QE11  
Schedule E – Part 1 – Cash.....QE12  
Schedule E – Part 2 – Cash Equivalents .....QE13

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**QUARTERLY STATEMENT**  
AS OF \_\_\_\_\_, **2019**  
OF THE CONDITION AND AFFAIRS OF THE

NAIC Group Code \_\_\_\_\_, NAIC Company Code \_\_\_\_\_, Employer's ID Number \_\_\_\_\_  
 (Current Period) (Prior Period)  
 Organized under the Laws of \_\_\_\_\_, State of Domicile or Port of Entry \_\_\_\_\_  
 Country of Domicile \_\_\_\_\_  
 Incorporated/Organized \_\_\_\_\_, Commenced Business \_\_\_\_\_  
 Statutory Home Office \_\_\_\_\_, \_\_\_\_\_  
 (Street and Number) (City or Town, State, Country and Zip Code)  
 Main Administrative Office \_\_\_\_\_, \_\_\_\_\_  
 (Street and Number) (City or Town, State, Country and Zip Code)  
 Mail Address \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_  
 (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)  
 Primary Location of Books and Records \_\_\_\_\_, \_\_\_\_\_  
 (Street and Number) (City or Town, State, Country and Zip Code)  
 Internet Web Site Address \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_  
 (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)  
 Statutory Statement Contact \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_  
 (Name) (Area Code) (Telephone Number) (Extension)  
 \_\_\_\_\_, \_\_\_\_\_  
 (E-Mail Address) (Fax Number)

OFFICERS			
1.	Name	Title	Other
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

**DIRECTORS OR TRUSTEES**

_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

State of .....  
 County of ..... ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions there from for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of the attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

_____ (Signature)	_____ (Signature)	_____ (Signature)
_____ (Printed Name) 1.	_____ (Printed Name) 2.	_____ (Printed Name) 3.
_____ (Title)	_____ (Title)	_____ (Title)

Subscribed and sworn before me  
 this ..... day of .....  
 \_\_\_\_\_

a. Is this an original filing? Yes [ ] No [ ]  
 b. If no: 1. State the amendment number .....  
 2. Date filed .....  
 3. Number of pages attached .....

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....				
2. Stocks:				
2.1 Preferred stocks.....				
2.2 Common stocks.....				
3. Mortgage loans on real estate:				
3.1 First liens.....				
3.2 Other than first liens.....				
4. Real estate:				
4.1 Properties occupied by the company (less \$..... encumbrances).....				
4.2 Properties held for the production of income (less \$..... encumbrances).....				
4.3 Properties held for sale (less \$..... encumbrances).....				
5. Cash (\$.....), cash equivalents (\$.....) and short-term investments (\$.....)				
6. Contract loans (including \$..... premium notes).....				
7. Derivatives.....				
8. Other invested assets.....				
9. Receivables for securities.....				
10. Securities lending reinvested collateral assets.....				
11. Aggregate write-ins for invested assets.....				
12. Subtotals, cash and invested assets (Lines 1 to 11).....				
13. Title plants less \$..... charged off (for Title insurers only).....				
14. Investment income due and accrued.....				
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....				
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$..... earned but unbilled premiums).....				
15.3 Accrued retrospective premiums (\$.....) and contracts subject to redetermination (\$.....)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....				
16.2 Funds held by or deposited with reinsured companies.....				
16.3 Other amounts receivable under reinsurance contracts.....				
17. Amounts receivable relating to uninsured plans.....				
18.1 Current federal and foreign income tax recoverable and interest thereon.....				
18.2 Net deferred tax asset.....				
19. Guaranty funds receivable or on deposit.....				
20. Electronic data processing equipment and software.....				
21. Furniture and equipment, including health care delivery assets (\$.....)				
22. Net adjustment in assets and liabilities due to foreign exchange rates.....				
23. Receivables from parent, subsidiaries and affiliates.....				
24. Health care (\$.....) and other amounts receivable.....				
25. Aggregate write-ins for other-than-invested assets.....				
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25).....				
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....				
28. Total (Lines 26 and 27)				
<b>DETAILS OF WRITE-INS</b>				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page.....				
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)				
2501. ....				
2502. ....				
2503. ....				
2598. Summary of remaining write-ins for Line 25 from overflow page.....				
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)				

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**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31 Prior Year
1. Known claims reserve .....		
2. Statutory premium reserve .....		
3. Aggregate of other reserves required by law .....		
4. Supplemental reserve .....		
5. Commissions, brokerage and other charges due or accrued to attorneys, agents and real estate brokers .....		
6. Other expenses (excluding taxes, licenses and fees) .....		
7. Taxes, licenses and fees (excluding federal and foreign income taxes) .....		
8.1 Current federal and foreign income taxes (including \$.....on realized capital gains (losses)) .....		
8.2 Net deferred tax liability .....		
9. Borrowed money \$.....and interest thereon \$.....		
10. Dividends declared and unpaid .....		
11. Premiums and other consideration received in advance .....		
12. Unearned interest and real estate income received in advance .....		
13. Funds held by company under reinsurance treaties .....		
14. Amounts withheld or retained by company for account of others .....		
15. Provision for unauthorized and certified reinsurance .....		
16. Net adjustment in assets and liabilities due to foreign exchange rates .....		
17. Drafts outstanding .....		
18. Payable to parent, subsidiaries and affiliates .....		
19. Derivatives .....		
20. Payable for securities .....		
21. Payable for securities lending .....		
22. Aggregate write-ins for other liabilities .....		
23. Total liabilities (Lines 1 through 22) .....		
24. Aggregate write-ins for special surplus funds .....		
25. Common capital stock .....		
26. Preferred capital stock .....		
27. Aggregate write-ins for other-than-special surplus funds .....		
28. Surplus notes .....		
29. Gross paid in and contributed surplus .....		
30. Unassigned funds (surplus) .....		
31. Less treasury stock, at cost:		
31.1 ..... shares common (value included in Line 31 \$.....)		
31.2 ..... shares preferred (value included in Line 31 \$.....)		
32. Surplus as regards policyholders (Lines 24 to 30 less 31).....		
33. Totals (Page 2, Line 28, Col. 3)		
<b>DETAILS OF WRITE-INS</b>		
0301. ....		
0302. ....		
0303. ....		
0398. Summary of remaining write-ins for Line 3 from overflow page .....		
0399. Totals (Lines 0301 through 0303 plus 0398) (Line 3 above)		
2201. ....		
2202. ....		
2203. ....		
2298. Summary of remaining write-ins for Line 22 from overflow page .....		
2299. Totals (Lines 2201 through 2203 plus 2298) (Line 22 above)		
2401. ....		
2402. ....		
2403. ....		
2498. Summary of remaining write-ins for Line 24 from overflow page .....		
2499. Totals (Lines 2401 through 2403 plus 2498) (Line 24 above)		
2701. ....		
2702. ....		
2703. ....		
2798. Summary of remaining write-ins for Line 27 from overflow page .....		
2799. Totals (Lines 2701 through 2703 plus 2798) (Line 27 above)		

**OPERATIONS AND INVESTMENT EXHIBIT**

<b>STATEMENT OF INCOME</b>		1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>OPERATING INCOME</b>				
1.	Title insurance and related income:			
1.1	Title insurance premiums earned .....			
1.2	Escrow and settlement services .....			
1.3	Other title fees and service charges .....			
2.	Aggregate write-ins for other operating income .....			
3.	Total Operating Income (Lines 1 through 2) .....			
<b>EXPENSES</b>				
4.	Losses and loss adjustment expenses incurred .....			
5.	Operating expenses incurred .....			
6.	Aggregate write-ins for other operating expenses .....			
7.	Total Operating Expenses .....			
8.	Net operating gain or (loss) (Lines 3 minus 7) .....			
<b>INVESTMENT INCOME</b>				
9.	Net investment income earned .....			
10.	Net realized capital gains (losses) less capital gains tax of \$ .....			
11.	Net investment gain (loss) (Lines 9 + 10) .....			
<b>OTHER INCOME</b>				
12.	Aggregate write-ins for miscellaneous income or (loss) or other deductions .....			
13.	Net income, after capital gains tax and before all other federal income taxes (Lines 8+11+12) .....			
14.	Federal and foreign income taxes incurred .....			
15.	Net income (Lines 13 minus 14) .....			
<b>CAPITAL AND SURPLUS ACCOUNT</b>				
16.	Surplus as regards policyholders, December 31 prior year .....			
17.	Net income (from Line 15) .....			
18.	Change in net unrealized capital gains or (losses) less capital gains tax of \$ .....			
19.	Change in net unrealized foreign exchange capital gain (loss) .....			
20.	Change in net deferred income taxes .....			
21.	Change in nonadmitted assets .....			
22.	Change in provision for unauthorized and certified reinsurance .....			
23.	Change in supplemental reserves .....			
24.	Change in surplus notes .....			
25.	Cumulative effect of changes in accounting principles .....			
26.	Capital Changes:			
26.1	Paid in .....			
26.2	Transferred from surplus (Stock Dividend) .....			
26.3	Transferred to surplus .....			
27.	Surplus Adjustments:			
27.1	Paid in .....			
27.2	Transferred to capital (Stock Dividend) .....			
27.3	Transferred from capital .....			
28.	Dividends to stockholders .....			
29.	Change in treasury stock .....			
30.	Aggregate write-ins for gains and losses in surplus .....			
31.	Change in surplus as regards policyholders for the year (Lines 17 through 30) .....			
32.	Surplus as regards policyholders as of statement date (Lines 16 plus 31) .....			
<b>DETAILS OF WRITE-INS</b>				
0201.	.....			
0202.	.....			
0203.	.....			
0298.	Summary of remaining write-ins for Line 2 from overflow page .....			
0299.	Totals (Lines 0201 through 0203 plus 0298) (Line 2 above) .....			
0601.	.....			
0602.	.....			
0603.	.....			
0698.	Summary of remaining write-ins for Line 6 from overflow page .....			
0699.	Totals (Lines 0601 through 0603 plus 0698) (Line 6 above) .....			
1201.	.....			
1202.	.....			
1203.	.....			
1298.	Summary of remaining write-ins for Line 12 from overflow page .....			
1299.	Totals (Lines 1201 through 1203 plus 1298) (Line 12 above) .....			
3001.	.....			
3002.	.....			
3003.	.....			
3098.	Summary of remaining write-ins for Line 30 from overflow page .....			
3099.	Totals (Lines 3001 through 3003 plus 3098) (Line 30 above) .....			

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**CASH FLOW**

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	.....	.....	.....
2. Net investment income.....	.....	.....	.....
3. Miscellaneous income.....	.....	.....	.....
4. Total (Lines 1 to 3).....	.....	.....	.....
5. Benefit and loss related payments.....	.....	.....	.....
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	.....	.....	.....
7. Commissions, expenses paid and aggregate write-ins for deductions.....	.....	.....	.....
8. Dividends paid to policyholders .....	.....	.....	.....
9. Federal and foreign income taxes paid (recovered) net of \$..... tax on capital gains (losses) .....	.....	.....	.....
10. Total (Lines 5 through 9) .....	.....	.....	.....
11. Net cash from operations (Line 4 minus Line 10) .....	.....	.....	.....
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	.....	.....	.....
12.2 Stocks.....	.....	.....	.....
12.3 Mortgage loans .....	.....	.....	.....
12.4 Real estate .....	.....	.....	.....
12.5 Other invested assets.....	.....	.....	.....
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	.....	.....	.....
12.7 Miscellaneous proceeds .....	.....	.....	.....
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	.....	.....	.....
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	.....	.....	.....
13.2 Stocks.....	.....	.....	.....
13.3 Mortgage loans .....	.....	.....	.....
13.4 Real estate.....	.....	.....	.....
13.5 Other invested assets.....	.....	.....	.....
13.6 Miscellaneous applications.....	.....	.....	.....
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	.....	.....	.....
14. Net increase (or decrease) in contract loans and premium notes.....	.....	.....	.....
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	.....	.....	.....
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....	.....	.....	.....
16.2 Capital and paid in surplus, less treasury stock .....	.....	.....	.....
16.3 Borrowed funds.....	.....	.....	.....
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....	.....	.....	.....
16.5 Dividends to stockholders.....	.....	.....	.....
16.6 Other cash provided (applied) .....	.....	.....	.....
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....	.....	.....	.....
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Lines 15 and 17).....	.....	.....	.....
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	.....	.....	.....
19.2 End of period (Line 18 plus Line 19.1) .....	.....	.....	.....

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001 .....	.....	.....	.....
20.0002 .....	.....	.....	.....
20.0003 .....	.....	.....	.....
20.9996 .....	.....	.....	.....

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**NOTES TO FINANCIAL STATEMENTS**

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## GENERAL INTERROGATORIES

### PART 1 – COMMON INTERROGATORIES

#### GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [ ]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [ ]
- 2.2 If yes, date of change: \_\_\_\_\_
- 3.1 Is the reporting entity a member of an Insurance Holding Company system consisting of two or more affiliated persons, one or more of which is an insurer? Yes [ ] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [ ]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.  
.....  
.....
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [ ] No [ ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. \_\_\_\_\_
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [ ]  
**If yes, complete and file the merger history data file with the NAIC.**
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [ ] No [ ] N/A [ ]  
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or being made. \_\_\_\_\_
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. \_\_\_\_\_
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). \_\_\_\_\_
- 6.4 By what department or departments? \_\_\_\_\_
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [ ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [ ] No [ ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [ ]
- 7.2 If yes, give full information.
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [ ] No [ ]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.  
.....
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [ ]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e., the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC), and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

2 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....

**GENERAL INTERROGATORIES**

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [ ] No [ ]
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
  - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
  - (c) Compliance with applicable governmental laws, rules and regulations;
  - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
  - (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:  
 .....  
 .....
- 9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [ ]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).  
 .....  
 .....
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [ ]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).  
 .....  
 .....

**FINANCIAL**

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ ] No [ ]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ \_\_\_\_\_

**INVESTMENT**

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [ ]
- 11.2 If yes, give full and complete information relating thereto: .....
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ \_\_\_\_\_
13. Amount of real estate and mortgages held in short-term investments: \$ \_\_\_\_\_
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [ ] No [ ]
- 14.2 If yes, please complete the following:

		1	2
		Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21	Bonds	\$ _____	\$ _____
14.22	Preferred Stock.....	\$ _____	\$ _____
14.23	Common Stock.....	\$ _____	\$ _____
14.24	Short-Term Investments.....	\$ _____	\$ _____
14.25	Mortgage Loans or Real Estate.....	\$ _____	\$ _____
14.26	All Other.....	\$ _____	\$ _____
14.27	Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$ _____	\$ _____
14.28	Total Investment in Parent included in Lines 14.21 to 14.26 above	\$ _____	\$ _____

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [ ]
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ]  
 If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ \_\_\_\_\_
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ \_\_\_\_\_
- 16.3 Total payable for securities lending reported on the liability page \$ \_\_\_\_\_

**GENERAL INTERROGATORIES**

17. Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity’s offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [ ] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location, and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [ ]  
 17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. [“...that have access to the investment accounts”; “...handle securities”]

1 Name of Firm or Individual	2 Affiliation

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) manage more than 10% of the reporting entity’s assets? Yes [ ] No [ ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity’s assets? Yes [ ] No [ ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of “A” (affiliated) or “U” (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes [ ] No [ ]

18.2 If no, list exceptions:

.....

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

- a. A document necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
- b. Issuer or obligor is current on all contracted interest and principal payments.
- c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities? Yes [ ] No [ ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

- a. The security was purchased prior to January 1, 2018.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities? Yes [ ] No [ ]

**GENERAL INTERROGATORIES**

**PART 2 – TITLE**

- 1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity’s participation change? Yes [ ] No [ ] N/A [ ]  
If yes, attach an explanation.
- 2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [ ] No [ ]  
If yes, attach an explanation.
- 3.1 Have any of the reporting entity’s primary reinsurance contracts been canceled? Yes [ ] No [ ]
- 3.2 If yes, give full and complete information thereto.....
- 4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses discounted to present value at a rate of interest greater than zero? Yes [ ] No [ ]
- 4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Disc. Rate	Total Discount				Discount Taken During Period			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 TOTAL	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 TOTAL
Total										

- 5.1 Reporting entity assets listed on Page 2 include the following segregated assets of the Statutory Premium Reserve and other similar statutory reserves:
  - 5.11 Bonds \$ \_\_\_\_\_
  - 5.12 Short-term investments \$ \_\_\_\_\_
  - 5.13 Mortgages \$ \_\_\_\_\_
  - 5.14 Cash \$ \_\_\_\_\_
  - 5.15 Other admissible invested assets \$ \_\_\_\_\_
  - 5.16 Total \$ \_\_\_\_\_
- 5.2 List below segregated funds held for others by the reporting entity, set apart in special accounts and excluded from entity assets and liabilities. (These funds are also included in Schedule E-Part 1 and the “From Separate Accounts, Segregated Accounts and Protected Cell Accounts” line on Page 2 except for escrow funds held by Title insurers)
  - 5.21 Custodial funds not included in the statement were held pursuant to the governing agreements of custody in the amount of: \$ \_\_\_\_\_  
These funds consist of: \_\_\_\_\_
  - 5.22 In cash on deposit \$ \_\_\_\_\_
  - 5.23 Other forms of security \$ \_\_\_\_\_

Not for Distribution





**SCHEDULE T—EXHIBIT OF PREMIUMS WRITTEN**  
**Current Year to Date – By States and Territories**

States, etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses and Allocated Loss Adjustment Expenses Paid (Deducting Salvage)		Direct Known Claim Reserve	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama.....AL							
2. Alaska.....AK							
3. Arizona.....AZ							
4. Arkansas.....AR							
5. California.....CA							
6. Colorado.....CO							
7. Connecticut.....CT							
8. Delaware.....DE							
9. Dist. Columbia.....DC							
10. Florida.....FL							
11. Georgia.....GA							
12. Hawaii.....HI							
13. Idaho.....ID							
14. Illinois.....IL							
15. Indiana.....IN							
16. Iowa.....IA							
17. Kansas.....KS							
18. Kentucky.....KY							
19. Louisiana.....LA							
20. Maine.....ME							
21. Maryland.....MD							
22. Massachusetts.....MA							
23. Michigan.....MI							
24. Minnesota.....MN							
25. Mississippi.....MS							
26. Missouri.....MO							
27. Montana.....MT							
28. Nebraska.....NE							
29. Nevada.....NV							
30. New Hampshire.....NH							
31. New Jersey.....NJ							
32. New Mexico.....NM							
33. New York.....NY							
34. No. Carolina.....NC							
35. No. Dakota.....ND							
36. Ohio.....OH							
37. Oklahoma.....OK							
38. Oregon.....OR							
39. Pennsylvania.....PA							
40. Rhode Island.....RI							
41. So. Carolina.....SC							
42. So. Dakota.....SD							
43. Tennessee.....TN							
44. Texas.....TX							
45. Utah.....UT							
46. Vermont.....VT							
47. Virginia.....VA							
48. Washington.....WA							
49. West Virginia.....WV							
50. Wisconsin.....WI							
51. Wyoming.....WY							
52. American Samoa.....AS							
53. Guam.....GU							
54. Puerto Rico.....PR							
55. U.S. Virgin Is.....VI							
56. Northern Mariana Islands.....MP							
57. Canada.....CAN							
58. Aggregate Other Alien.....OT	XXX						
59. Totals	XX						
<b>DETAILS OF WRITE-INS</b>							
58001.....	XXX						
58002.....	XXX						
58003.....	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page.....	XXX						
58999. TOTALS (Lines 58001 through 58998 plus 58998) Line 58 above.....	XXX						

(a) Active Status Counts:

- L – Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....
- E – Eligible - Reporting entities eligible or approved to write surplus lines in the state.....
- N – None of the above - Not allowed to write business in the state.....
- R – Registered - Non-domiciled RRGs.....
- Q – Qualified - Qualified or accredited reinsurer.....

**SCHEDULE Y—INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**

**PART 1—ORGANIZATIONAL CHART**

Not for Distribution



**PART 1 – LOSS EXPERIENCE**

	Current Year to Date				5 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Written	2 Other Income (Page 4, Lines 1.2+1.3+2)	3 Direct Losses Incurred	4 Direct Loss Percentage Cols. 3/(1+2)	
1. Direct operations .....	.....	.....	.....	.....	.....
2. Agency operations:					
2.1 Non-affiliated agency operations .....	.....	.....	.....	.....	.....
2.2 Affiliated agency operations .....	.....	.....	.....	.....	.....
3. Totals					

**PART 2 – DIRECT PREMIUMS WRITTEN**

	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1. Direct operations .....	.....	.....	.....
2. Agency operations:			
2.1 Non-affiliated agency operations .....	.....	.....	.....
2.2 Affiliated agency operations .....	.....	.....	.....
3. Totals			

Not for Distribution

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**OVERFLOW PAGE FOR WRITE-INS**

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Not for Distribution

**SCHEDULE A – VERIFICATION**  
Real Estate

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition.....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals.....		
6. Total foreign exchange change in book/adjusted carrying value.....		
7. Deduct current year's other-than-temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8).....		
10. Deduct total nonadmitted amounts.....		
11. Statement value at end of current period (Line 9 minus Line 10)		

**SCHEDULE B – VERIFICATION**  
Mortgage Loans

	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and mortgage interest points and commitment fees .....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		
10. Deduct current year's other-than-temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....		
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12).....		
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14)		

**SCHEDULE B – VERIFICATION**  
Other Long-Term Invested Assets

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value.....		
10. Deduct current year's other-than-temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....		
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12)		

**SCHEDULE D – VERIFICATION**  
Bonds and Stocks

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....		
2. Cost of bonds and stocks acquired .....		
3. Accrual of discount .....		
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration for bonds and stocks disposed of .....		
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10).....		
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12)		

**SCHEDULE D – PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a).....	.....	.....	.....	.....	.....	.....	.....	.....
2. NAIC 2 (a).....	.....	.....	.....	.....	.....	.....	.....	.....
3. NAIC 3 (a).....	.....	.....	.....	.....	.....	.....	.....	.....
4. NAIC 4 (a).....	.....	.....	.....	.....	.....	.....	.....	.....
5. NAIC 5 (a).....	.....	.....	.....	.....	.....	.....	.....	.....
6. NAIC 6 (a).....	.....	.....	.....	.....	.....	.....	.....	.....
7. Total Bonds								
<b>PREFERRED STOCK</b>								
8. NAIC 1.....	.....	.....	.....	.....	.....	.....	.....	.....
9. NAIC 2.....	.....	.....	.....	.....	.....	.....	.....	.....
10. NAIC 3.....	.....	.....	.....	.....	.....	.....	.....	.....
11. NAIC 4.....	.....	.....	.....	.....	.....	.....	.....	.....
12. NAIC 5.....	.....	.....	.....	.....	.....	.....	.....	.....
13. NAIC 6.....	.....	.....	.....	.....	.....	.....	.....	.....
14. Total Preferred Stock.....								
15. Total Bonds & Preferred Stock								

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:  
NAIC 1 \$.....; NAIC 2 \$.....; NAIC 3 \$.....; NAIC 4 \$.....; NAIC 5 \$.....; NAIC 6 \$.....

Not for Distribution



**SCHEDULE DA – PART 1**  
Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999		XXX			

**SCHEDULE DA – VERIFICATION**  
Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	.....	.....
2. Cost of short-term investments acquired.....	.....	.....
3. Accrual of discount.....	.....	.....
4. Unrealized valuation increase (decrease).....	.....	.....
5. Total gain (loss) on disposals .....	.....	.....
6. Deduct consideration received on disposals .....	.....	.....
7. Deduct amortization of premium .....	.....	.....
8. Total foreign exchange change in book/adjusted carrying value .....	.....	.....
9. Deduct current year's other-than-temporary impairment recognized.....	.....	.....
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	.....	.....
11. Deduct total nonadmitted amounts.....	.....	.....
12. Statement value at end of current period (Line 10 minus Line 11)	.....	.....

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**SCHEDULE DB – PART A – VERIFICATION**  
Options, Caps, Floors, Collars, Swaps and Forwards

1.	Book/Adjusted Carrying Value, December 31, prior year (Line 9, prior year) .....	_____
2.	Cost Paid/(Consideration Received) on additions .....	_____
3.	Unrealized Valuation increase/(decrease) .....	_____
4.	Total gain (loss) on termination recognized .....	_____
5.	Considerations received/(paid) on terminations .....	_____
6.	Amortization .....	_____
7.	Adjustment to the Book/Adjusted Carrying Value of hedged item .....	_____
8.	Total foreign exchange change in Book/Adjusted Carrying Value .....	_____
9.	Book/Adjusted Carrying Value at End of Current Period (Lines 1+2+3+4-5+6+7+8) .....	_____
10.	Deduct nonadmitted assets .....	_____
11.	Statement value at end of current period (Line 9 minus Line 10) .....	_____

**SCHEDULE DB – PART B – VERIFICATION**  
Futures Contracts

1.	Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year) .....	_____
2.	Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote – Cumulative Cash Change column).....	_____
3.1	Add:	
	Change in variation margin on open contracts – Highly Effective Hedges	
3.11	Section 1, Column 15, current year to date minus .....	_____
3.12	Section 1, Column 15, prior year.....	_____
	Change in variation margin on open contracts – All Other	
3.13	Section 1, Column 18, current year to date minus .....	_____
3.14	Section 1, Column 18, prior year.....	_____
3.2	Add:	
	Change in adjustment to basis of hedged item	
3.21	Section 1, Column 17, current year to date minus.....	_____
3.22	Section 1, Column 17, prior year .....	_____
	Change in amount recognized	
3.23	Section 1, Column 19, current year to date minus.....	_____
3.24	Section 1, Column 19, prior year .....	_____
3.3	Subtotal (Line 3.1 minus Line 3.2).....	_____
4.1	Cumulative variation margin on terminated contracts during the year .....	_____
4.2	Less:	
4.21	Amount used to adjust basis of hedged item .....	_____
4.22	Amount recognized .....	_____
4.3	Subtotal (Line 4.1 minus Line 4.2).....	_____
5.	Dispositions gains/losses on contracts terminated in prior year:	
5.1	Total gain (loss) recognized for terminations in prior year .....	_____
5.2	Total gain (loss) adjusted into the hedged item(s) for terminations in prior year.....	_____
6.	Book/Adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2) .....	_____
7.	Deduct total nonadmitted amounts .....	_____
8.	Statement value at end of current period (Line 6 minus Line 7).....	_____



**SCHEDULE DB – PART C – SECTION 2**  
Replication (Synthetic Asset) Transactions Open

	First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Year To Date	
	1 Number of Positions	2 Total Replication (Synthetic Asset) Transactions Statement Value	3 Number of Positions	4 Total Replication (Synthetic Asset) Transactions Statement Value	5 Number of Positions	6 Total Replication (Synthetic Asset) Transactions Statement Value	7 Number of Positions	8 Total Replication (Synthetic Asset) Transactions Statement Value	9 Number of Positions	10 Total Replication (Synthetic Asset) Transactions Statement Value
1. Beginning Inventory .....										
2. Add: Opened or Acquired Transactions .....										
3. Add: Increases in Replication (Synthetic Asset) Transactions Statement Value .....	xxx		xxx		xxx		xxx		xxx	
4. Less: Closed or Disposed of Transactions .....										
5. Less: Positions Disposed of for Failing Effectiveness Criteria .....										
6. Less: Decreases in Replication (Synthetic Asset) Transactions Statement Value .....	xxx		xxx		xxx		xxx		xxx	
7. Ending Inventory .....										

Not for Distribution

**SCHEDULE DB – VERIFICATION**

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

Book/Adjusted Carrying Value Check

1.	Part A, Section 1, Column 14 .....	_____
2.	Part B, Section 1, Column 15 plus Part B, Section 1 Footnote – Total Ending Cash Balance .....	_____
3.	Total (Line 1 plus Line 2) .....	_____
4.	Part D, Section 1, Column 5 .....	_____
5.	Part D, Section 1, Column 6 .....	_____
6.	Total (Line 3 minus Line 4 minus Line 5) .....	_____

Fair Value Check

7.	Part A, Section 1, Column 16 .....	_____
8.	Part B, Section 1, Column 13 .....	_____
9.	Total (Line 7 plus Line 8) .....	_____
10.	Part D, Section 1, Column 8 .....	_____
11.	Part D, Section 1, Column 9 .....	_____
12.	Total (Line 9 minus Line 10 minus Line 11) .....	_____

Potential Exposure Check

13.	Part A, Section 1, Column 21 .....	_____
14.	Part B, Section 1, Column 20 .....	_____
15.	Part D, Section 1, Column 11 .....	_____
16.	Total (Line 13 plus Line 14 minus Line 15) .....	_____

Not for Distribution

**SCHEDULE E – PART 2 – VERIFICATION**  
(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	.....	.....
2. Cost of cash equivalents acquired.....	.....	.....
3. Accrual of discount.....	.....	.....
4. Unrealized valuation increase (decrease).....	.....	.....
5. Total gain (loss) on disposals.....	.....	.....
6. Deduct consideration received on disposals.....	.....	.....
7. Deduct amortization of premium.....	.....	.....
8. Total foreign exchange change in book/adjusted carrying value.....	.....	.....
9. Deduct current year's other-than-temporary impairment recognized.....	.....	.....
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7 +8-9).....	.....	.....
11. Deduct total nonadmitted amounts.....	.....	.....
12. Statement value at end of current period (Line 10 minus Line 11)	.....	.....

Not for Distribution











**SCHEDULE D – PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Adjusted Carrying Value at Disposal	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designation and Administrative Symbol/ Market Indicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other-Than-Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.							
9999999	Totals						XXX												XXX	XXX	

(a) For all common stock bearing the NAIC market indicator "U" provide the number of shares issued \_\_\_\_\_.

Not for Distribution





**SCHEDULE DB – PART D – SECTION 1**  
 Counterparty Exposure for Derivative Instruments Open as of Current Statement Date

1 Description of Exchange, Counterparty or Central Clearinghouse	2 Master Agreement (Y or N)	3 Credit Support Annex (Y or N)	4 Fair Value of Acceptable Collateral	Book/Adjusted Carrying Value			Fair Value			11 Potential Exposure	12 Off-Balance Sheet Exposure
				5 Contracts With Book/ Adjusted Carrying Value >0	6 Contracts With Book/ Adjusted Carrying Value <0	7 Exposure Net of Collateral	8 Contracts With Fair Value >0	9 Contracts With Value <0	10 Exposure Net of Collateral		
.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....
<b>0999999999 Gross Totals</b>											
1. Offset per SSAP No. 64											
2. Net after right of offset per SSAP No. 64											

Not for Distribution

**SCHEDULE DB – PART D – SECTION 2**  
Collateral for Derivative Instruments Open as of Current Statement Date

Collateral Pledged by Reporting Entity

1 Exchange, Counterparty or Central Clearinghouse	2 Type of Asset Pledged	3 CUSIP Identification	4 Description	5 Fair Value	6 Par Value	7 Book/Adjusted Carrying Value	8 Maturity Date	9 Type of Margin (I, V or IV)
.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....
0199999999 Total							XXX	XXX

Collateral Pledged to Reporting Entity

1 Exchange, Counterparty or Central Clearinghouse	2 Type of Asset Pledged	3 CUSIP Identification	4 Description	5 Fair Value	6 Par Value	7 Book/Adjusted Carrying Value	8 Maturity Date	9 Type of Margin (I, V or IV)
.....	.....	.....	.....	.....	.....	XXX	.....	.....
.....	.....	.....	.....	.....	.....	XXX	.....	.....
.....	.....	.....	.....	.....	.....	XXX	.....	.....
.....	.....	.....	.....	.....	.....	XXX	.....	.....
.....	.....	.....	.....	.....	.....	XXX	.....	.....
0299999999 Total						XXX	XXX	XXX

Not for Distribution







**SCHEDULE E – PART 1 – CASH**  
 Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	6 Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
<b>OPEN DEPOSITORIES</b>								
Section (A)—Segregated Funds Held for Others								XXX
.....								XXX
.....								XXX
.....								XXX
.....								XXX
.....								XXX
0199998 Deposits in _____ depositories which do not exceed the allowable limit in any one depository (see instructions)	XXX	XXX						XXX
0199999 Total Segregated Funds Held for Others	XXX	XXX						XXX
Section (B)—General Funds								XXX
.....								XXX
.....								XXX
.....								XXX
.....								XXX
0299998 Deposits in _____ depositories which do not exceed the allowable limit in any one depository (see instructions)	XXX	XXX						XXX
0299999 Total General Funds	XXX	XXX						XXX
Section (C)—Reinsurance Reserve Funds								XXX
.....								XXX
.....								XXX
.....								XXX
.....								XXX
.....								XXX
.....								XXX
0399998 Deposits in _____ depositories which do not exceed the allowable limit in any one depository (see instructions)	XXX	XXX						XXX
0399999 Total Reinsurance Reserve Funds	XXX	XXX						XXX
0499999 Total Open Depositories	XXX	XXX						XXX
<b>SUSPENDED DEPOSITORIES</b>								
Section (A)—Segregated Funds Held for Others								
.....								
0599998 Deposits in _____ depositories which do not exceed the allowable limit in any one depository (see instructions)	XXX	XXX						XXX
0599999 Total Segregated Funds Held for Others	XXX	XXX						XXX
Section (B)—General Funds								
.....								
0699998 Deposits in _____ depositories which do not exceed the allowable limit in any one depository (see instructions)	XXX	XXX						XXX
0699999 Total General Funds	XXX	XXX						XXX
Section (C)—Reinsurance Reserve Funds								
.....								
0799998 Deposits in _____ depositories which do not exceed the allowable limit in any one depository (see instructions)	XXX	XXX						XXX
0799999 Total Reinsurance Reserve Funds	XXX	XXX						XXX
0899999 Total Suspended Depositories	XXX	XXX						XXX
0999999 Total Cash	XXX	XXX						XXX
1099999 Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
1199999 Total Cash	XXX	XXX						XXX



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