

# **STATE OF INDIANA**

## **Water Infrastructure Assistance Fund**



**State Fiscal Year 2023**  
**July 1, 2022 – June 30, 2023**

**Water Infrastructure Assistance Fund  
Annual Report SFY 2023  
July 1, 2022 – June 30, 2023**

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**State of Indiana**  
**Water Infrastructure Assistance Fund**  
**Annual Report**

**SFY 2023**

**I. Introduction**

Pursuant to IC 5-1.2-14, the Indiana Finance Authority (Authority) hereby submits to the budget committee and the public this Annual Report for State Fiscal Year (SFY) 2023 for its Water Infrastructure Assistance Fund (Fund). It is prepared in accordance with IC 5-1.2-14-11. The report will be available for the public at the SRF Loan Program website ([www.in.gov/ifa/srf](http://www.in.gov/ifa/srf)).

The purpose of the Fund is to establish the water infrastructure assistance fund as a source of money for grants, loans, and other financial assistance to, or for the benefit of, Participants in the Fund. Acronyms and terms used herein are defined in [Exhibit A](#).

As required by IC 5-1.2-14-5, the Authority adopted guidelines to establish criteria for the making of grants, loans, and other financial assistance from the Fund. The adopted Water Infrastructure Assistance Fund Program Guidelines are provided in [Exhibit B](#).

**II. Water Infrastructure Assistance Fund Eligible Uses**

IC 5-1.2-14 sets forth that the Fund may be used to do the following:

1. Provide grants, loans, and other financial assistance to or for the benefit of Participants for the planning, designing, acquisition, construction, renovation, improvement, or expansion of public water systems, wastewater or stormwater collection and treatment systems; and other activities necessary to complete the above activities, whether or not the other activities are permitted by the federal Clean Water Act or the federal Safe Drinking Water Act.
2. Provide grants, loans, or other financial assistance to political subdivisions for tasks associated with the development and preparation of long-term control plans, use attainability analyses, and stormwater management programs.
3. Undertake tasks associated with the development and preparation of water, wastewater, and stormwater infrastructure and resource analyses.
4. Conduct all other activities that are permitted by the federal Clean Water Act or the federal Safe Drinking Water Act.
5. Provide grants, loans, or other financial assistance to or for the benefit of Participants to establish guaranties, reserves, or sinking funds, including guaranties, reserves, or sinking funds to secure and pay, in whole or in part, loans or other financial

assistance made from sources other than the fund (including financial institutions) for a purpose referenced in item one above.

6. Provide interest subsidies.
7. Pay financing charges (including interest on the loan) during design and construction of a water or wastewater infrastructure project based upon a viable financial plan and for a reasonable period after the completion of construction.
8. Pay reasonable direct and indirect Program administration costs.
9. Money in the Fund may be used to establish a leveraged loan program or other financial assistance programs established in connection with the Fund and as permitted in IC 5-1.2-14-13.

### **III. Application, Project Scoring, and Criteria**

#### ***A. Application, Preliminary Engineering Report, and Asset Management Plan***

Participants who submit an application and Preliminary Engineering Report (PER) for funding through the SRF Loan Programs were considered for financing through the Fund. The purpose of the application and PER is to provide the information necessary for the Authority to determine the technical, economic, and environmental adequacy of a Proposed Project. A PER must be approved by the Authority prior to an award of Financial Assistance for a Proposed Project. The PER shall contain a section identifying the status of the development of a Participant's Asset Management Program (AMP). The AMP shall meet the criteria as set forth in the Authority's Asset Management Program Guidelines found at <https://www.in.gov/ifa/srf/2376.htm>, and as set forth in IC 5-1.2-14-8. A Participant shall certify to the Authority that it has met the above requirement.

#### ***B. Project Scoring and Ranking System***

The Authority has established a project prioritization system for the purposes of awarding financial assistance from the Fund. The project prioritization system is the same as the scoring and ranking systems currently utilized by the Authority to prioritize applications within the Indiana SRF Loan Programs. Criteria included in the scoring and ranking system are set forth in IC 5-1.2-14-8.2. For projects proposing treatment works or stormwater improvements, the project will be scored utilizing the Clean Water scoring protocols. For projects proposing Public Water System improvements, the project will be scored utilizing the Drinking Water scoring protocols. The priority scoring system(s), designed to implement the criteria, is attached as Exhibit C. The resulting scores were used to rank proposed projects on Project Priority Lists (PPL). See Exhibit D for the July 1, 2022, PPLs.

After scoring, the Proposed Project must be placed on a PPL and posted for public notice to be awarded Financial Assistance from the Authority. A PPL becomes effective on the first day of the State's fiscal year and is amended quarterly. The Authority may amend a PPL if

the Authority determines that unforeseen circumstances require an adjustment, or in the case of an emergency.

***C. Selection Criteria for the Program***

Selection preference of projects for receiving funding from the Fund include:

1. Projects that are ineligible for funding through the Clean Water or Drinking Water SRF Loan Programs and/or Participants that are ineligible for funding through the Clean Water or Drinking Water SRF Loan Programs.
2. Participants serving less than 3,200 customers. At least forty percent (40%) of the Fund will be set aside for these Participants.
3. Other Projects deemed appropriate by the Authority that meet the requirements of IC 5-1.2-14.

**IV. Financial Status of the Water Infrastructure Assistance Fund**

***A. Sources of Funds***

The Fund consists of the following:

1. Fees and other amounts received by the State, paid by the Treasurer of the State to the Authority upon warrants issued by the Auditor of State, and deposited in the Fund.
2. Appropriations to the Fund from the general assembly
3. Grants and gifts of money to the Fund
4. Proceeds of the sale of:
  - a. Gifts to the Fund; and
  - b. Loans, evidences of other financial assistance, and other obligations evidencing the loans or other financial assistance.
5. Repayments of loans and other financial assistance from the Fund, including interest, premiums, and penalties.

***B. Available Funds***

The Authority was awarded SFY 2023 Water Infrastructure Assistance Fund Appropriation, in the amount of \$20,000,000, for a total of \$60,000,000 over the life of the program. Forty percent (40%), or \$8,000,000, of the SFY 2023 appropriation was set aside for Participants serving less than 3,200 customers. Binding commitments were made from the Fund through the execution of a Financial Assistance Agreement between the Authority and a Participant.

### ***C. Interest Rates of Loans***

As allowed by IC 5-1.2-14-5, the Authority determined parameters for establishing the interest rate on each loan made from the Fund, including parameters for establishing the amount of interest subsidies.

The Water Infrastructure Assistance Fund uses a base interest rate, which is re-set on the first business day of each January, April, July, and October. The base rate is calculated by using 90 percent of the daily average 20-year AAA-rated, general obligation bond Municipal Market Data (MMD) composite index for the most recent calendar month. The Base Rate is discounted further based on the Participant's median household income (MHI) from the current American Community Five Year Survey and projected post-project monthly user rates.

The Participant's financial information is reviewed by the Authority to finalize an interest rate. The Participant's rate consultant completes a rate study before a rate ordinance is adopted by the Participant's governing body.

In addition to the above-mentioned criteria, the Authority may take into account the following factors when setting interest rates: credit risk, the cost of funds, and whether the financial assistance provided to a Participant is taxable or tax exempt.

More than one interest rate may be established and used for loans or other financial assistance to different Participants or for the same Participant.

Participants that delay the repayment of new debt around existing debt service may be assessed 25 additional basis points to offset the additional subsidy they are afforded. The Authority has the discretion to waive the extra charge for Participants. Any Participant proposing to use a "wrap structure" will be required to justify it by showing a substantial, positive effect on user rates.

In SFY 2023, recipients of the Fund received interest rates starting at 2.00%. See Interest Rate Matrices, [Exhibit E](#).

### ***D. Terms of Loans***

Water Infrastructure Assistance Fund loans were structured so that minimum annual principal repayments commence one year after expected completion of the project. Final principal payment may occur up to 35 years after expected completion of the project, provided the useful life of the project is equal to or greater than the loan term.

Additionally, the Authority expects level debt service payments except in limited circumstances, such as where debt service is wrapped around a Participant's existing debt based on user rate affordability. Executing a loan through the Fund on any basis other than level, aggregate annual debt service is subject to additional Authority review and approval.

### ***E. Loan Closing Fees***

The cost of financing loans includes fees and expenses of the SRF trustee bank. The Authority may require Participants to offset some of these costs through a Loan Closing Fee, which is \$1,000 per closing. Fees are not assessed for participants closing more than one loan at a time or grants. In SFY 2023, the Fund collected \$5,000 in loan closing fees for WAF project. The use of the administrative fee funding is limited to current and future eligible costs of the Fund, as described in Section II.

### ***F. Assurances***

The Authority hereby affirms that it retains an independent auditor to review and audit the use of funds deposited in the Fund in accordance with the auditing procedures of the State.

## **V. Financial Assistance Provided**

In SFY 2023, the Authority entered into Financial Assistance Agreements with twelve borrowers, totaling \$11,211,601.

Since March 2021, when the WAF program began, the Authority has entered into Financial Assistance Agreements with twenty-five borrowers, totaling \$52,057,101.

All Participants have certified that Asset Management Plans are now or will be completed prior to final disbursement of the financial assistance to be provided. All Participants have participated in regional coordination efforts and Drinking Water facilities have documented the submission of a Non-Revenue Water Audit.

As required by IC 5-1.2-14-8.4, the Authority made at least forty percent (40%) of the appropriation available solely for providing loan assistance to utilities serving less than 3,200 customers. In SFY 2023 \$7,702,725 of the appropriated funding was allocated to communities serving less than 3,200 customers. Over the life of the WAF program \$32,428,225, or 62% of the total Appropriation was awarded in grants and loans to communities that service less than 3,200 customers.

For a list of projects funded since July 1, 2021, see Exhibit F.

Exhibit A  
Water Infrastructure Assistance Fund Definitions

Terms used in this document have the following meanings:

**Asset Management Program or AMP** means the program developed and implemented by the Participant demonstrating that it has the technical, managerial, legal, and financial capability to operate and maintain its water and/or wastewater system. AMPs shall follow the AMP Guidelines developed by the Authority and shall be inclusive of the requirements of the Fiscal Sustainability (FSP) for wastewater projects. The AMP certification will meet the requirements of both the AMP and the FSP.

**Authority** means the Indiana Finance Authority, created under IC 5-1.2-3, which administers the Program. IC 5-1.2-14-1.

**Clean Water Act** means the Federal Water Pollution Control Act (FWPCA), 33 U.S.C. 1251 et seq., in effect on January 1, 1989, amended on December 16, 1996, and further amended by the Water Resources Reform and Development Act of 2014 (WRRDA), in effect on October 1, 2014.

**Clean Water SRF** means the State's Clean Water State Revolving Fund Loan Program as authorized by Clean Water Act, and IC 5-1.2-10.

**Drinking Water SRF** means the State's Drinking Water State Revolving Fund Loan Program as authorized by the Safe Drinking Water Act, and IC 5-1.2-10.

**Financial Assistance** means the types of financial assistance authorized by IC 5-1.2-14.

**Financial Assistance Agreement** means an agreement between a Participant and the Authority pursuant to IC 5-1.2-14-6 and IC 5-1.2-14 -8 that contains the terms and conditions of the grant, loan or other financial assistance provided from the Water Infrastructure Assistance Program. The Financial Assistance Agreement is a valid, binding, and enforceable agreement.

**Funded Project** means a Proposed Project which received funding through an executed Financial Assistance Agreement.

**Loan** means purchasing the notes or bonds of a Participant to finance a Proposed Project.

**Participant** means the following:

- (1) Political Subdivision as defined in IC 36-1-2-13.
- (2) Regional Water, Sewage, or Solid Waste District organized under IC 13-26-1.
- (3) Not-for-profit utilities as defined in IC 8-1-2-125.



- (4) Conservancy District established for the purpose set forth in IC 14-33-1-1(a)(4) & (5).
- (5) Any other owner of a wastewater treatment system that is authorized by the federal Clean Water Act to borrow from the Wastewater Revolving Loan Program established under IC 5-1.2.-10.
- (6) Any other owner of a public water system that is authorized by the federal Safe Drinking Water Act to borrow from the Drinking Water Revolving Loan Program established under IC 5-1.2-10; and,
- (7) Any other non-political subdivision that owns a treatment works, public water system, or stormwater system.

**Preliminary Engineering Report or PER** means the document(s) submitted by a Participant that provides the information necessary for the Authority to determine the technical, economic, and environmental adequacy of a Proposed Project.

**Project Priority List or PPL** ranks, in descending priority of need, Proposed Projects for which applicants have requested Financial Assistance from the Authority for eligible projects. The PPL is created by the Authority, updated quarterly, and may be amended as necessary.

**Proposed Project** means the activities or tasks a Participant identifies in its PER or any other document required by the Authority related to the planning, design, and /or construction of a Proposed Project for which a Participant may commit and expend funds.

**Safe Drinking Water Act** means the federal act, as amended by the Safe Drinking Water Act Amendments of 1986, the Lead Contamination Control Act of 1988, and the Safe Drinking Water Act Amendments of 1996, and further amended by the Water Infrastructure Improvements for the Nation Act of 2016 (WIIN), in effect on December 16, 2016.

**SRF Loan Programs** means the Clean Water SRF Loan Program and the Drinking Water SRF Loan Program.



Exhibit B  
**WATER INFRASTRUCTURE ASSISTANCE PROGRAM**  
**GUIDELINES**

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# WATER INFRASTRUCTURE ASSISTANCE PROGRAM GUIDELINES

## **Section 1: Program Established; Purpose of Program**

The Water Infrastructure Assistance Program (Program) is established by IC 5-1.2-14.

The purpose of the Program is to establish the water infrastructure assistance fund as a source of money for grants, loans, and other financial assistance to, or for the benefit of, participants in the Program. The Program is administered by the Indiana Finance Authority (Authority). The uses of the fund are outlined in Section 3 of these guidelines.

## **Section 2: Definitions**

The following definitions apply throughout this document:

**Asset Management Program (AMP)** means the program developed and implemented by the Utility demonstrating that it has the technical, managerial, legal, and financial capability to operate and maintain its water and/or wastewater system. AMPs shall be inclusive of the requirements of the Fiscal Sustainability (FSP) for Clean Water projects. The AMP certification will meet the requirements of both the AMP and the FSP. The AMP or the certification stating that the AMP has been developed shall be provided to the Authority at or prior to the time of the submission of the Participant's preliminary engineering report. Participants shall submit to the Authority information on the estimated and actual life cycle management costs of their facilities over the useful life of the assets to be financed.

**Authority** means the Indiana Finance Authority, created under IC 5-1.2-3, which administers the Program. IC 5-1.2-14-1.

**Clean Water Act or CWA** means the Federal Water Pollution Control Act (FWPCA), 33 U.S.C. 1251 et seq., in effect on January 1, 1989, amended on December 16, 1996, and further amended by the Water Resources Reform and Development Act of 2014 (WRRDA), in effect on October 1, 2014, and further amended by the Infrastructure Investment and Jobs Act of 2021, dated November 15, 2021, also referred to as the Bipartisan Infrastructure Law (BIL) (Public Law 117-58).

**Clean Water SRF** means the State's Clean Water State Revolving Fund Loan Program as authorized by Clean Water Act, and IC 5-1.2-10.

**Drinking Water SRF** means the State's Drinking Water State Revolving Fund Loan Program as authorized by the Safe Drinking Water Act, and IC 5-1.2-10.

**Financial Assistance** means the types of financial assistance authorized by IC 5-1.2-14.

**Financial Assistance Agreement** means an agreement between a Participant and the Authority pursuant to IC 5-1.2-14-6 and IC 5-1.2-14 -8 that contains the terms and conditions of the grant, loan, or other financial assistance provided from the Water Infrastructure Assistance Program. The Financial Assistance Agreement is a legally valid, binding, and enforceable agreement.

**Funded Project** means a Proposed Project which received funding through an executed Financial Assistance Agreement.

**Loan** means purchasing the notes or bonds of a Participant to finance a Proposed Project.

**Participant** means the following:

- (1) Political Subdivision as defined in IC 36-1-2-13;
- (2) Regional Water, Sewage, or Solid Waste District organized under IC 13-26-1;
- (3) Not for profit utilities as defined in IC 8-1-2-125;
- (4) Conservancy District established for the purpose set forth in IC 14-33-1-1(a)(4) & (5);
- (5) Any other owner of a wastewater treatment system that is authorized by the federal Clean Water Act to borrow from the wastewater revolving loan program established under IC 5-1.2.-10;
- (6) Any other owner of a public water system that is authorized by the federal Safe Drinking Water Act to borrow from the drinking water revolving loan program established under IC 5-1.2-10; and,
- (7) Any other non-political subdivision that owns a treatment works, public water system, or stormwater system.

**Preliminary Engineering Report or PER** means the document(s) submitted by a Participant that provides the information necessary for the Program to determine the technical, economic, and environmental adequacy of a Proposed Project.

**Project Priority List or PPL** ranks, in descending priority of need, Proposed Projects for which applicants have requested Financial Assistance from the Authority for eligible projects. The PPL is created by the Authority, updated quarterly, and may be amended as necessary.

**Proposed Project** means the activities or tasks a Participant identifies in its PER or any other document required by the Authority related to the planning, design, and/ or construction of a Proposed Project for which a Participant may commit and expend funds.

**Safe Drinking Water Act or SDWA** means the federal act as amended by the Safe Drinking Water Act Amendments of 1986, the Lead Contamination Control Act of 1988, and the Safe Drinking Water Act Amendments of 1996, amended by the Water Infrastructure Improvements for the Nation Act of 2016 (WIIN), in effect on December 16, 2016, and further amended by the Infrastructure Investment and Jobs Act of 2021, dated November 15, 2021, also referred to as the Bipartisan Infrastructure Law (BIL) (Public Law 117-58).

**SRF Loan Programs** means the Clean Water SRF Program (inclusive of the CWSRF Base and General Supplemental Program and the CWSRF Emerging Contaminants Program) and the Drinking Water SRF Program (inclusive of the DWSRF Base and General Supplemental Program and the DWSRF Lead Service Line Replacement Program and the DWSRF Emerging Contaminants Program).

### **Section 3: Uses of the Water Infrastructure Assistance Fund**

The Water Infrastructure Assistance Fund (Fund) may be used to do the following:

- (1) Provide grants, loans, and other financial assistance to or for the benefit of Participants for the planning, designing, acquisition, construction, renovation, improvement, or expansion of public water systems, wastewater or storm water collection and treatment systems; and other activities necessary to complete the above activities whether or not the other activities are permitted by the federal Clean Water Act or the federal Safe Drinking Water Act
- (2) Provide grants, loans or other financial assistance to Participants for tasks associated with the development and preparation of long-term control plans, use attainability analyses and storm water management programs.
- (3) Undertake tasks associated with the development and preparation of water, wastewater, and storm water infrastructure and resource analyses.
- (4) Conduct all other activities that are permitted by the federal Clean Water Act or the federal Safe Drinking Water Act.
- (5) Provide grants, loans or other financial assistance to or for the benefit of Participants to establish guaranties, reserves, or sinking funds, including guaranties, reserves, or sinking funds to secure and pay, in whole or in part, loans or other financial assistance made from sources other than the fund (including financial institutions) for a purpose permitted by clause 1 above.
- (6) Provide interest subsidies.
- (7) Pay financing charges (including interest on the loan) during design and construction of a water or wastewater infrastructure project based upon a viable financial plan and for a reasonable period after the completion of construction.
- (8) Pay reasonable direct and indirect Water Infrastructure Assistance Program administration costs.
- (9) Money in the Fund may be used to establish a leveraged loan program or other financial assistance programs established in connection with the water infrastructure assistance fund and as permitted in IC 5-1.2-14-13.

### **Section 4: Application; Preliminary Engineering Report (PER); Asset Management Program (AMP)**

#### **4-1 Application**

Participants who submit an application for funding through the SRF Loan Programs will be considered for funding through the Program. The Authority will select projects placed on the PPL after being ranked and scored that meet the requirements of IC 5-1.2-14. Selection preference of projects is set forth in Section 5-2 of these guidelines.

## **4-2 Preliminary Engineering Report (PER)**

The purpose of a PER is to provide the information necessary for the Authority to determine the technical, economic, and environmental adequacy of a Proposed Project. A PER must be approved by the Authority prior to an award of Financial Assistance for a Proposed Project. PER information and data requirements are dependent on the type of Proposed Project and shall be determined by the Authority.

Participants shall follow the Authority's PER Guidelines which may be found at <https://www.in.gov/ifa/srf/2376.htm>. The Authority may request additional information from a Participant to adequately assess the technical, economic, and environmental adequacy of a Proposed Project.

## **4-3 Asset Management Program (AMP)**

The AMP shall meet the criteria as set forth in the IFA's Asset Management Program Guidelines found at <https://www.in.gov/ifa/srf/2376.htm> and as set forth in IC 5-1.2-14-8. The AMP or the certification stating that the AMP has been developed shall be provided to the Authority at or prior to the time of the submission of the Participant's preliminary engineering report.

## **Section 5: Project Prioritization System; Project Priority List (PPL)**

### **5-1 Project Prioritization System**

The Authority has established a project prioritization system for the purposes of awarding financial assistance from the Water Infrastructure Assistance Fund. The project prioritization system is the same as the scoring and ranking systems currently utilized by the Authority to prioritize applications within the Indiana SRF Loan Programs. Criteria included in the scoring and ranking system are set forth in IC 5-1.2-14-8.2. For projects proposing treatment work or stormwater projects, the project will be scored utilizing the Clean Water scoring protocols. For projects proposing Public Water System projects, the project will be scored utilizing the Drinking Water scoring protocols. The resulting scores will be used to rank proposed projects on a Project Priority List (PPL).

In order for a project to be ranked and scored the Participant must comply with the following requirements:

- (1) The Proposed Project must be consistent with the uses of the Water Infrastructure Assistance Program, as identified in IC 5-1.2-14-6.
- (2) A Participant must submit general project information on an application form provided by the Authority that is signed by a Participant's authorized representative.
- (3) A Participant must submit a Preliminary Engineering Report (PER) to the Authority.
- (4) A Participant must have developed an AMP per the Authority's AMP Guidelines. The AMP or the certification stating that the AMP has been developed shall be provided to the Authority at or prior to the time of the submission of the Participant's preliminary engineering report.

- (5) A Participant agrees, as directed, and as required, by the Authority, to submit information on the estimated (and actual) life cycle management costs over the useful life of the asset financed.

## **5-2 Project Priority List (PPL)**

After scoring a Proposed Project, the Proposed Project is placed on the project priority list (PPL). All projects receiving funding must be on the PPL to be awarded Financial Assistance from the Authority. The PPL becomes effective on the first day of the State's fiscal year and is amended quarterly. The Authority may adjust the PPL if the Authority determines that unforeseen circumstances require an adjustment or in the case of an emergency.

Selection preference of projects for receiving funding from the Water Infrastructure Assistance Fund include:

- (1) Projects that are ineligible for funding through the Clean Water or Drinking Water SRF Loan Program and/or Participants that are ineligible for funding through the Clean Water or Drinking Water SRF Loan Program.
- (2) Participants serving less than 3,200 customers. Forty percent (40%) of the fund will be set aside for these Participants.
- (3) Other Projects deemed appropriate by the Authority that meet the requirements of IC 5-1.2-14.

## **Section 6: Program Requirements**

A Participant shall meet the following requirements in order to receive Financial Assistance from the Fund:

- (1) Own, operate, and maintain, or cause to be operated and maintained, a Treatment Works or Public Water System for its useful life.
- (2) Demonstrate financial, managerial, technical, and legal capability to meet the terms of the Financial Assistance Agreement and to operate and maintain the facility for its useful life.
- (3) Establish and maintain sufficient user rates and charges or other charges, fees, taxes, special assessments, or revenues available to the Participant to maintain and operate its wastewater or drinking water system and to pay the obligations of its wastewater or drinking water system, including all debt service associated with the Financial Assistance provided from Fund.
- (4) Have developed and is maintaining an Asset Management Program as set forth in Section 4-3 of these guidelines, inclusive of providing a copy of a current audit (as requested by the Authority) of the Participant's financial records as conducted by the state board of accounts or other certified independent auditor during the term of its Financial Assistance Agreement. The AMP must demonstrate to the Authority that the Participant has a plan to participate (or has participated) in one or more cooperative/regional activities (i.e. attendance at a regional planning meeting).
- (5) Agrees to allow inspection by the Authority of the financial records related to the Participant during the term of the Financial Assistance Agreement.

- (6) A Participant operating a Public Water System agree to complete annual audits of non-revenue water and submit to the Authority every even numbered year.
- (7) The Participant must submit all other documentation requested by the Authority which may include but is not limited to the following: (a) a certification and guarantee of signatures; (b) a certification that no litigation is pending challenging the validity of or entry into the loan or other financial assistance; (c) an approving opinion of nationally recognized bond counsel and; (d) any other certifications, agreements, security or requirements requested by the Authority.
- (8) Meets all other requirements established by the Authority.

## **Section 7: Criteria for Interest Rates; Interest Rate Reductions**

### **7-1 Criteria for Interest Rates**

The Authority has established criteria to establish interest rates for Proposed Projects. A base rate will be calculated each quarter by using 90 percent of the average 20-year AAA-rated, general obligation bond Municipal Market Data ("MMD") composite index for the most recent calendar month. The Base Rate is then discounted further based upon a Participant's Median Household Income from current ACS 5-year census data and projected user rates.

In addition to the above-mentioned criteria, the Authority may take into account the following factors when setting interest rates: credit risk, the Program's costs of funds, whether the financial assistance provided to a participant is taxable or tax exempt.

More than one interest rate may be established and used for loans or other financial assistance to different participants or for the same participant.

### **7-2 Interest Rate Reductions**

The Authority may also provide assistance in the form of an interest rate discount to eligible Participants for Projects which address nonpoint source pollution, climate resiliency planning, lead line replacement project components, green infrastructure, water or energy efficiency improvements, or other environmentally innovative activities in an approved PER. A Participant must prepare and submit appropriate information as requested by the Authority to qualify for an interest rate discount.

## **Section 8: Financial Due Diligence**

A Participant will submit a completed due diligence form issued or authorized by the Authority with the required documentation. The Authority will review or cause to be reviewed the due diligence form and documentation.

## **Section 9: Bidding and Procurement**

### **9-1 Professional Services; Construction and Equipment**

Bidding and procurement must be done pursuant to applicable state law. Participants conducting procurement for professional services will proceed pursuant to IC 5-16-11.1. Participants conducting procurement for any activity other than professional services will proceed pursuant to IC 36-1-12.



## **Section 10: Pre-Construction**

### **10-1 Construction Permit**

A Participant must obtain a construction permit if applicable from the Department of Environmental Management (IDEM) in accordance with State rules or other permitting authority if applicable, in conjunction with the approved PER prior to awarding any construction contract. A Participant should receive authorization from the Authority prior to initiating procurement for construction.

### **10-2 Acquisition of Land, Easements, and Existing Facilities**

A Participant is responsible for acquisition of land, easements, and any existing facilities necessary to construct, operate, and maintain the Proposed Project.

### **10-3 Bid Tabulations**

Certified bid tabulations and recommendations of award will be submitted by a Participant to the Authority for review and approval prior to Participant's award of any construction contract.

### **10-4 Pre-Construction Contract Requirements**

A Participant must provide copies of the following to the Authority for a Participant to enter into any construction contract: executed contracts, notices to contractors to proceed, bid bonds, performance and payment bonds, and construction schedules.

## **Section 11: Construction**

### **11-1 Change Orders**

A Participant will submit copies of all change order(s) issued for the Funded Project to the Authority for review and approval.

### **11-2 Inspections**

The Authority will conduct construction inspections in order to determine compliance with the approved PER, IDEM construction permit, the Financial Assistance Agreement and other applicable requirements.

A Participant will conduct construction inspections to ensure that the construction complies with the approved PER, IDEM construction permit(s), and the terms and conditions of each construction contract. The Participant shall provide the Authority with the certificate of substantial completion for each Funded Project, and any other certifications as required by the Authority to meet state requirements.

## **Section 12: Disbursement of Funds**

Financial Assistance will be disbursed as follows:

- (1) A Participant will submit a signed disbursement request form as authorized by the Authority with supporting documentation.

- (2) The Authority will review disbursement request forms for eligibility and approve all requests for disbursement.
- (3) All files and records pertaining to the Funded Project will be maintained by a Participant and made accessible to the Authority upon request. These files and records will be retained by the Participant for at least six years after initiation of operation as determined by the Authority. However, if any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the six-year period, the records will be retained until completion of the action and resolution of all issues that arise from it or until the end of the regular six- year period, whichever is later.

### **Section 13: Reservation of Rights**

The following rights are reserved:

- (1) The Water Infrastructure Assistance Program Guidelines do not prohibit a Participant from requiring more assurances, guarantees, indemnity, or other contractual requirements from any party performing work on any Proposed or Funded Project.
- (2) The Water Infrastructure Assistance Program Guidelines do not affect the Authority's right under existing statutes, rules or regulations to take remedial action, including, but not limited to, administrative enforcement action and actions for breach of contract against a Participant that fails to carry out its obligations under these Guidelines.
- (3) Review or approval by or for the Authority does not relieve a Participant of its responsibility to properly plan, design, build, and effectively operate and maintain the facility as required by applicable federal and state statutes, rules, regulations, permits, and best management practices. The Authority is not responsible for increased costs resulting from defects in the plans, design drawings, specifications, inspections, construction, or other sub-agreement documents related to any Proposed or Funded Project.

Exhibit C  
Project Scoring and Ranking Worksheet

**INDIANA FINANCE AUTHORITY**  
**Clean Water State Revolving Fund Loan Program**  
State Fiscal Year 2023 (July 1, 2022 to June 30, 2023)

<b>Project Name:</b>	
SRF Project Number:	NPDES #:
Reviewer:	Date:

Check only one:

List A: Small Community applicant population < 10,000.	
List B: Large Community applicant population ≥10,000.	

	<b>Score</b>	Maximum Allowed Score
Section 1: Project Need	0	50 points
Section 2: Water Quality Benefits	0	40 points
Section 3: Brownfield Re-Use	0	5 points
Section 4: Financial Capability/Disadvantaged Community	0	5 points
<b>Total Project Score:</b>	<b>0</b>	<b>100 Possible Points</b>

**Bonus Points**

Sustainable Infrastructure	0	4 points
Climate Resiliency	0	2 points
Clean Water Needs Survey Submittal	0	1 point
Disadvantaged Community	0	3 points
Regionalization	0	6 points
Early Submission ( <i>On or before April 1, 2022</i> )	0	5 points
<b>Total Bonus Points:</b>	<b>0</b>	<b>20 Possible Points</b>

**Deduction Points**

Non-Regionalization	0	-10 Points
<b>Total Deduction Points:</b>	<b>0</b>	<b>-10 Possible Points</b>

<b>Total Points Earned:</b>	<b>0</b>	
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**Instructions:**

Projects are scored using the following criteria to develop the Clean Water State Revolving Fund (CWSRF) Loan Program Project Priority List (PPL). To the extent practical, the CWSRF Loan Program expects to give priority to projects that:

1. Provide a Water Quality Benefit;
2. Improve the condition of the system; and
3. Assist systems most in financial need.
4. Employ other best practices, such as collaboration with other entities.

Points are assigned only when the proposed project intends to correct the problem identified under the appropriate section(s) with the associated points. For example, if the treatment system has persistent violations but the proposed project does not address the violations, the points associated with the violations will not be assigned. However, if the applicant has persistent violations and addresses them, the points associated with the violations will be assigned.

The total number of available points is 100. The total number of available bonus points is 20. The total score is determined by adding the total points associated with the project with the total amount of bonus points earned for projects that include sustainable infrastructure, climate resiliency, completing the CWNS and regionalization as well as deducting points associated with non-regionalization. **If a tie occurs, the project with the lowest MHI prevails.**

A loan recipient must submit a Preliminary Engineering Report (PER) on or before May 1 to CWSRF in order to be Scored and Ranked on the PPL. A PER submitted after May 1, will be scored and unranked. Projects which submit applications only (without a PER) will appear as unscored and unranked. An applicant will receive 5 bonus points if a complete PER is submitted on or before April 1.

<sup>1</sup> Scoring will be applied to multiple funding sources administered by the Authority

**Section 1: Project Need**

This section scores projects by the type of project proposed for funding. Points are given based on type of project, with additional points given to priority projects mentioned on page 1.

<b>Categories of Need:</b> Projects may fall into several categories based on EPA's criteria.		
<b>Category</b>	<b>Points</b>	<b>Earned</b>
Categories I/II: Secondary/Advanced Treatment	4 Points	
Category IIIA: Infiltration/Inflow Correction	3 Points	
Category IIIB: Major Sewer System Rehabilitation	3 Points	
Category IVA: New Collection Sewers	4 Points	
Category IVB: New Interceptors	2 Points	
Category V: Combined Sewer Overflow Correction	5 Points	
Category VI: Stormwater	2 Points	
Category VII: Non Point Source	3 Points	
<b>Total Points:</b>		<b>0</b>

**Additional Points will be provided if the following information is provided in the PER:**

Wastewater Treatment Plant (WWTP) Age of facility - 50% or more was constructed more than 20 years ago.	Yes	1 Point	
	No	0 Points	
<b>Total Points:</b>			<b>0</b>

Septic Tank Factor (STF) = number of existing septic tanks to be eliminated by the project.	Project removes 1-25 septics	1 Point	
	Project removes 26-75 septics	2 Points	
	Project removes 76-125 septics	3 Points	
	Project removes ≥126 septics	4 Points	
<b>Total Points:</b>			<b>0</b>

Combined Sewer Overflows (CSO) = The proposed project eliminates a percentage of EITHER annual average overflow volume OR number of events per year on a system wide basis.	Project removes 1-25%	1 Point	
	Project removes 26-50%	2 Points	
	Project removes 51-75%	3 Points	
	Project removes 76-100%	5 Points	
<b>Total Points:</b>			<b>0</b>

Sanitary Sewer Overflows (SSO) = The proposed project eliminates a percentage of EITHER annual average	Project removes 1-25%	1 Point	
	Project removes 26-50%	2 Points	

overflow volume OR number of events per year on a system wide basis.	Project removes 51-75%	3 Points	
	Project removes 76-100%	4 Points	
<b>Total Points:</b>			<b>0</b>

<b>Regionalization Factor:</b> Points assigned if the proposed project reduces the number of National Pollution Discharge Elimination System (NPDES) dischargers by regionalization.	Eliminates one or more NPDES discharger	4 Points	
<b>Total Points:</b>			<b>0</b>

<b>Infiltration/Inflow (I/I):</b> The project proposes to correct an existing collection system that has excessive I/I.	Yes	2 Points	
	No	0 Points	
<b>Total Points:</b>			<b>0</b>

<b>Total Points Section 1 Earned:</b>	<b>0</b>
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## Section 2: Water Quality Benefits

This section assigns points to projects providing an environmental benefit to a receiving stream.

<b>Dilution Ratio Points (DRP):</b> (7-day $Q_{10}$ of receiving stream in cfs / (design flow in mgd) x (1.55 cfs/mgd)). This is the calculation of effluent limits outlined in a WWTP NPDES permit.		
0-.99	4 Points	
1.00 – 4.99	3 Points	
5.00 – 9.99	2 Points	
10.0 or greater	1 Point	
<b>Total Points:</b>		<b>0</b>

<b>Outstanding Resource Factor:</b> Assign a value of 4 points if the project will improve water quality in an Outstanding State Resource (327 IAC 2-1.5-2 (3)), Exceptional Use Stream (327 IAC 2-1-11), Natural, Scenic and Recreational River or Stream (312 IAC 7-2), Outstanding Rivers List for Indiana (Indiana Register 20070530-IR 312070287NRA), or a salmonid stream (327 IAC 2-1.5-5(a)(3)).	4 Points	
<b>Total Points:</b>		<b>0</b>

<http://www.in.gov/legislative/register/20070530-IR-312070287NRA.xml.pdf>

<b>Drinking Water Factor:</b> Assign a value of 4 points, if the proposed project positively affects a drinking water supply.	4 Points	
<b>Total Points:</b>		<b>0</b>

<b>Implementation Factor:</b> Assign a value of 4 points if the proposed project implements an approved Total Maximum Daily Load (TMDL).	4 Points	
<b>Total Points:</b>		<b>0</b>

<b>Priority Segments Points:</b> Assign a value of 1 point, if project affects segments within drainage basins which have been designated by the State as priority basins. These basins are Lake Michigan - Great Lakes Initiative (GLI), St. Joseph River and Maumee River Basins.	1 Point	
<b>Total Points:</b>		<b>0</b>

<b>Water Quality Score:</b> Points assigned based on benefit or impact to the project to maintain or achieve compliance on established water quality standards, or in anticipation of future requirements.		
a. The project is necessary to achieve or maintain compliance with effluent limitations based on water quality standards for conventional pollutants (i.e., CBOD5, TSS).	5 Points	
b. The project is necessary to achieve or maintain compliance with effluent limitations based on water quality standards for toxic substances (i.e. heavy metals and man-made organic compounds).	5 Points	
c. The project is necessary to achieve compliance with effluent limitations based on water quality standards for additional or more stringent limits than existing NPDES permit.	5 Points	
<b>Total Points:</b>		<b>0</b>

<b>Pollution Reduction Value:</b> This is only for nonpoint source projects where points will be assigned based on an estimate of the <i>E. coli</i> pollutant which will be controlled or reduced by the project. A defined area is needed.		
75% reduction and greater	6 Points	
50% - 74%	5 Points	
25% - 49%	4 Points	
Less than or equal to 24%	3 Points	
<b>Total Points:</b>		<b>0</b>

<b>Impaired Water Score:</b> Points are given to projects that reduce or remove pollutants causing the impairment of a 303 (d) listed water body, impairment documented in the IDEM External Data Framework (Tier 2 data or higher), or an outstanding and exceptional resource water.	7 Points	
<b>Total Points:</b>		<b>0</b>
<b>Total Points Section 2 Earned:</b>		<b>0</b>

### Section 3: Brownfield Reuse

<b>Brownfield Reuse:</b> A value of 5 points will be awarded to a project involving remediation/redevelopment of a Brownfield (IC13-11-2-19.3) in conjunction with the Indiana Brownfields Program.		
SRF Project also has an Indiana Brownfields Program project in-house.	5 Points	
<b>Total Points Section 3 Earned:</b>		<b>0</b>

### Section 4: Financial Capability/Disadvantaged Community

<b>A. Financial Capability:</b> Post-project annual wastewater bill as a percentage of Median Household Income. A Disadvantaged Community is defined as a community meeting the State's affordability criteria).		
1. Greater than or equal to 2.00 %	5 Points	
2. 1.50 – 1.99 %	3 Points	
3. 1.00 – 1.49 %	1 Point	
4. Less than or equal to 0.99 %	0 Points	
<b>Total Points Section 4 Earned:</b>		<b>0</b>

<b>BONUS POINTS</b>
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Bonus points are provided as additional incentives to encourage best practices such as sustainable infrastructure. The upper limit for points in this section is 22 points.

**Sustainable Infrastructure**

**Sustainable Infrastructure:** Project incorporates sustainable infrastructure as identified on the Green Project Reserve Sustainability Incentive Clean Water Checklist. One point is awarded for each category, four points maximum.

Checklist/Points		
Category	Points	Earned
Green Infrastructure	1 Point	
Water Efficiency	1 Point	
Energy Efficiency	1 Point	
Environmentally Innovative	1 Point	
<b>Total Sustainability Points Earned:</b>		<b>0</b>

**Climate Resiliency**

**Climate Resiliency:** Two (2) points will be awarded when a community proposes a climate resiliency project.

Climate Resiliency	2 Points	
<b>Total Climate Resiliency Points Earned:</b>		<b>0</b>

**Clean Watershed Needs Survey**

**Clean Watershed Needs Survey:** One (1) point will be awarded when a community completes the Clean Watershed Needs Survey.

Complete CWNS Survey	1 Point	
<b>Total Needs Survey Points Earned:</b>		<b>0</b>

**Disadvantaged Community**

**Disadvantaged Community:** Three (3) points awarded when a community meets the State's affordability criteria.

Disadvantaged Community	3 Points	
<b>Total Needs Survey Points Earned:</b>		<b>0</b>

**Regionalization**

**Regionalization:** Six (6) points will be awarded when a community Regionalizes with another system.

Regionalize with a nearby wastewater system	5 Points	
Remain regionalized with a nearby wastewater system	5 Points	
Participate in Regional Cooperative Activities	1 Point	
<b>Total Regionalization Points Earned:</b>		<b>0</b>

**Early Submission**

**Early Submission**

Complete Preliminary Engineering Report was submitted on or before April 1	5 Points	
<b>Total Early Submission Points Earned:</b>		<b>0</b>

**DEDUCTION POINTS**

**Regionalization**

**Non-Regionalization:** Project involves disconnection from an active and available regional wastewater system.

Disconnecting from a viable wastewater treatment system.	- 10 Points	
<b>Total Deduction Points Earned:</b>		<b>0</b>

**INDIANA FINANCE AUTHORITY**  
**Drinking Water State Revolving Fund Loan Program**  
**Project Scoring and Ranking Worksheet<sup>1</sup>**  
**State Fiscal Year 2023 (July 1, 2022 to June 30, 2023)**

Project Name:	
SRF Project No.:	PWSID No.:
Reviewer:	Date:

	Score	Maximum Allowed Score
Section 1: Acute Public Health / SDWA Compliance	0	55
Section 2: Chronic Public Health / SDWA Compliance	0	25
Section 3: Public Health / Water Works Regulations Compliance	0	14
Section 4: Affordability and Population	0	6
<b>Total Project Score:</b>	<b>0</b>	<b>100</b>

**Bonus Points**

1. Other Funds Available	0	3
2. Capacity Development / Sustainability / Disadvantaged Community	0	25
3. Regionalization	0	10
<b>Total Bonus Points:</b>	<b>0</b>	<b>38</b>

**Deduction Points**

Non-Regionalization	0	-10
<b>Total Deduction Points:</b>	<b>0</b>	<b>-10</b>

<b>TOTAL POINTS EARNED:</b>	<b>0</b>	
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**Instructions:**

Projects are scored using the following criteria to develop the Drinking Water State Revolving Fund (DWSRF) Loan Program Project Priority List (PPL). To the extent practical, the DWSRF Loan Program expects to give priority to projects that:

1. Address the most serious risk to human health;
2. Are necessary to ensure compliance with the Safe Drinking Water Act (SDWA); and
3. Assist systems most in financial need on a per household basis, according to the State's affordability criteria.

Points are assigned to proposed projects that intend to correct deficiencies. For example, if the Public Water System has persistent violations of a Maximum Contaminant Level (MCL) but the proposed project does not address that problem, the points associated with persistent violations of a MCL will not be assigned. However, if the Participant does not have persistent MCL violations, but the project will address another Public Water System's persistent MCL violations, such as through consolidation, the points associated with the persistent MCL violations will be assigned.

The total number of available points is 100. The total number of available bonus points is 38. The total score is determined by adding the total points associated with the project with the total amount of bonus points earned for projects that include other funds available, capacity development/sustainability/disadvantaged community, regionalization as well as deducting points associated with non-regionalization. **If a tie occurs, the project with the lowest Median Household Income will prevail.**

A loan recipient must submit a Preliminary Engineering Report (PER) on or before May 1 to DWSRF in order to be Scored and Ranked on the PPL. A PER submitted after May 1, will be scored and unranked. Projects which submit applications only (without a PER) will appear unscored and unranked, in the Application-only Section of the PPL.

<sup>1</sup> Scoring will be applied to multiple funding sources administered by the Authority



**Section 1: Acute Public Health / SDWA Compliance (upper limit 55 points)**

Instructions: Assign points to projects that address acute public health concerns occurring within the last three years. The upper limit for points in this section is 55. Acute public health concerns affect an individual in the immediate short-term.		
Criteria to be corrected by project	Maximum Points	Points earned
1. Administrative Order, Agreed Order, or the Indiana Department of Environmental Management (IDEM) declares a waterborne emergency at existing waterworks for acute public health concerns.	50 Points	0
2. Interim Enhanced/Long Term 1/Long Term 2 Surface Water Treatment Rule violation (including Filter Backwash Recycling and Ground Water Rules) i.e., inadequately treated surface water or groundwater under the influence of surface water.	50 Points	0
3. Persistent Total Coliform Rule (TCR) or Nitrate violations.	50 Points	0
4. Inadequate individual home water supplies documented by the local or state health department to show health hazards, such as exceeding drinking water Maximum Contaminant Levels (MCL).	50 Points	0
5. Consolidation of a non-complying public water system (acute public health concerns).	50 Points	0
6. Condition determined to be an acute public health concern (including lead or copper) by IDEM or the Indiana State Department of Health (ISDH).	50 Points	0
7. Project corrects a compliance issue associated with an Enforcement Targeting Tool (ETT) score of 11 or greater	50 Points	0
<b>Total Points:</b>		<b>0</b>

**Section 2: Chronic Public Health / SDWA Compliance (upper limit 25 points)**

Instructions: Assign points to projects that address chronic public health concerns occurring within the last three years. The upper limit for points in this section is 25 points. Chronic public health concerns affect an individual over a lifetime.		
Criteria to be corrected by project	Maximum Points	Points earned
1. Administrative Order or Agreed Order for chronic public health concerns.	20 Points	0
2. Persistent MCL violations of the National Primary Drinking Water Regulations (Microorganisms, Disinfectants, Disinfection Byproducts, Inorganic and Organic Chemicals, and Radionuclides).	20 Points	0
3. Consolidation of a non-complying public water system (chronic public health concerns).	20 Points	0
4. Condition determined to be a chronic public health concern (including lead or copper) by IDEM or ISDH.	20 Points	0
<b>Total Points:</b>		<b>0</b>

**Section 3: Public Health / Water Works Regulations Compliance (upper limit 14 points)**

<p>Instructions: Assign points to projects for infrastructure improvements that will bring the existing public water systems into compliance with IDEM and/or water works regulations, such as Recommended Standards For Water Works (10 States Standards). The upper limit for points in this section is 14 points.</p>		
Criteria to be corrected by project	Maximum Points	Points earned
1. Resolve an IDEM connection ban, early warning notice or a non-SDWA violation.	10 Points	0
2. Resolve inadequate pressure in water works.	10 Points	0
3. Resolve conditions of inadequate water supply, including individual home wells and redundancy.	7 Points	0
4. Ensure that drinking water receives appropriate treatment to meet secondary standards.	7 Points	0
5. Ensure storage capacity in the water works is adequate.	7 Points	0
6. Reduce leakage and increase accountability.	5 Points	0
7. Ensure adequate flow in distribution system.	5 Points	0
8. Prevent conditions favoring the entrance of contaminants (including lead or copper, or non-regulated contaminants) into the distribution system.	5 Points	0
9. Install eligible security measures (alternate intake, fencing, lighting, cameras, motion detectors, secure chemical and fuel storage, security hatches, and access panels).	5 Points	0
<b>Total Points:</b>		<b>0</b>

**Section 4: Affordability, Disadvantaged Communities, and Population (upper limit 6 points)**

<p>Instructions: Affordability points are assigned to assist community water systems most in need on a per household basis. Therefore, non-community systems are not eligible to receive affordability points. The upper limit for points in this section is 6 points. Sources for median household income and population data include the U.S. Census and the IDEM Safe Drinking Water Information System (SDWIS). The post project annual water bill is based on an average monthly water bill (based on 4,000 gallons) x 12 months and rounded to one decimal place.</p>		
Criteria to be corrected by project	Maximum Points	Points earned
<p><b>1. Affordability and Disadvantaged Communities: post-project annual water bill as a percentage of median household income.</b> <i>Community water systems only.</i></p>		
Greater than or equal to 1.5 %	5 Points	0
1.0 – 1.4 %	4 Points	0
Less than or equal to 0.9 %	1 Point	0
<p><b>2. Population served by the project.</b> <i>Community and non-community water systems.</i></p>		
Less than or equal to 10,000	1 Point	0
Greater than or equal to 10,001	0 Points	0
<b>Total Points:</b>		<b>0</b>

**Section 5: Bonus (upper limit 28 points)**

Instructions: Bonus points encourage community water systems to perform planning and proper operation and maintenance. Non-community water systems are not eligible for bonus points. The upper limit for points in this section is 38 points.		
Criteria to be corrected by project	Maximum Points	Points earned
<b>1. Other Funds Available</b>		
Points are assigned to encourage community water systems to have other funds (cash on hand, grants or other loans) in addition to the construction loan from the DWSRF Loan Program. The percentage is calculated by dividing the other funds by the total project cost and rounding to a whole number. Assign points based on whether the system has the committed funds ( <b>Awarded</b> ) or is still in process of applying for other funds ( <b>Applying</b> ).		
Less than or equal to 10 %	0 points	0
11-50 % (Awarded: 2 points / Applying: 1 points)	1-2 points	0
Greater than or equal to 50% (Awarded: 3 points / Applying: 2 points)	2-3 points	0
<b>2. Capacity Development / Sustainability / Disadvantaged Community</b>		
1. Completes a self-assessment survey to assess the technical, managerial and financial capability of the utility.	1 point	0
2. Attends training for Utility Board Drinking Water Administrators	1 point	0
3. Consolidation of a SDWA compliant public water system or interconnection	1 point	0
4. Zero SDWA violations in last 12 months	1 point	0
5. Project includes Wellhead Protection Plan Implementation measures	1 point	0
6. Member of InWARN Network	1 point	0
7. Recognized with a Hoosier Water Guardian Award	1 point	0
8. >95% customers metered	1 point	0
9. Unaccounted for water <15%	1 point	0
10. Public Water System utilizes a Water Conservation Ordinance	1 point	0
11. Project includes the remediation/redevelopment of a brownfield (IC 13-11-2-19.3) in conjunction with the Indiana Finance Authority Brownfields Program	1 point	0
12. Project incorporates sustainable infrastructure as identified on the Green Project Reserve Sustainability Incentive Drinking Water Checklist. One point is awarded for each category, four points maximum.		
Category 1: Green Infrastructure	1 point	0
Category 2: Water Efficiency	1 point	0
Category 3: Energy Efficiency	1 point	0
Category 4: Environmentally Innovative	1 point	0
Category 5: Climate Resiliency	2 points	0
13. SFY23 Bonus Points for submitting on or before April 1, 2022	5 points	0
14. Disadvantaged Community	3 points	0
<b>3. Regionalization</b>		
Regionalize with a nearby drinking water system	5 points	0
Remain regionalized with a nearby drinking water system	5 Points	0
<b>Total Bonus Points:</b>		<b>0</b>

**Section 6: Deduction Points (Limit -10)**

<b>Instruction:</b> Deduction points are assigned when a project involves disconnection from an active and available regional water system.		
Non-Regionalization	- 10 Points	0
<b>Total Deduction Points:</b>		<b>0</b>

Exhibit D: Project Priority Lists (PPLs)

- Wastewater (Large Systems)
- Wastewater (Small Systems)
- Drinking Water
- Drinking Water Lead Service Line Replacement

**SFY 2023 - Clean Water (Large Systems)**  
Indiana Clean Water State Revolving Fund (CWSRF) Loan Program  
SFY 2023 Project Priority List, August 1, 2022, 1st Quarter - Final  
Projects Applying for Financial Assistance in State Fiscal Year 2023 (July 1, 2022 - June 30, 2023)  
Population 10,000 or Greater

PPL Rank <sup>1</sup>	PPL Score	Participant	MHI <sup>2</sup>	Population Served	NPDES No.	SRF Project No.	Project Description	Estimated Green Project Reserve Cost	Green Project Reserve Category <sup>3</sup>	Current User Rate (per 4,000 gallons) <sup>2</sup>	Estimated Post-Project User Rate (per 4,000 gallons) <sup>2</sup>	Participant meets SRF's Affordability Criteria <sup>4</sup> (Yes/No)	Project eligible under §319 of the CWA (Yes/No)	Requested Funds	Cumulative Requested Funds	SFY 2023 Fundable Range (\$125 Million) <sup>5</sup>
1	57	Citizens Water Authority, Inc.	\$44,709	903,393	IN0023183	WW16274904	Combined Sewer Plan	TBD	CR	\$44.32	\$44.32	Yes	No	\$80,000,000	\$80,000,000	Fundable Range \$125 Million (Borrowers are Eligible for up to \$25 Million at a Subsidized Rate)
2	53	Huntington	\$42,744	17,022	IN0023132	WW21323507	Plant Improvements and New Interceptor	\$0	N/A	\$61.26	\$95.00	Yes	No	\$33,000,000	\$113,000,000	
3	52	Bluffton	\$46,663	10,308	IN0022411	WW21559004	Plant, Collection System and Interceptor Improvements	\$4,410,200	EE	\$27.15	\$45.00	No	No	\$31,500,000	\$144,500,000	
4	52	New Haven	\$52,827	15,583	INM020346	WW22230304	New Plant and Combined Sewer Plan	TBD	EI	\$73.84	\$88.91	No	No	\$7,500,000	\$152,000,000	
5	50	Richmond	\$40,871	35,720	IN0025615	WW22198909	Collection System and Interceptor Improvements	\$0	N/A	\$43.44	\$53.21	Yes	No	\$6,600,000	\$158,600,000	
6	50	Crown Point	\$82,222	33,899	IN0025763	WW21394506	New Collection System and Interceptor, and Plant Improvements	TBD	EE	\$31.64	\$47.14	No	No	\$99,100,000	\$257,700,000	
7	48	Huntington RSD (Dawn Lakes)	\$42,744	17,022	IN0023132	WW22413508	Collection System Improvements and New Interceptor	TBD	EE	\$95.00	\$124.00	Yes	No	\$7,400,000	\$265,100,000	
8	45	Peru Utilities	\$40,065	11,073	IN0032328	WW22605201	New Interceptor and Interceptor Improvements	\$0	N/A	\$36.48	\$123.97	Yes	No	\$81,200,000	\$346,300,000	
9	44	Logansport Municipal Utilities	\$38,053	18,366	IN0023604	WW22390908	Combined Sewer Plan	\$0	N/A	\$47.84	\$66.73	Yes	No	\$14,900,000	\$361,200,000	
10	42	Evansville	\$42,623	117,298	IN0032956	WW21128220	Collection System Improvements	TBD	TBD	\$70.15	\$72.00	Yes	No	\$15,900,000	\$377,100,000	
11	39	Elkhart	\$40,101	53,923	IN0025674	WW22162005	Sewer Separation, and Interceptor and Stormwater System Improvements	\$0	N/A	\$41.46	\$41.46	Yes	Yes	\$17,300,000	\$394,400,000	
12	38	Portage	\$59,731	37,926	IN0024368	WW22286401	Plant Improvements	TBD	EE	\$35.00	\$41.17	No	No	\$3,200,000	\$397,600,000	
13	38	Columbus	\$67,387	50,474	IN0032573	WW22560308	New Collection System and Interceptor Improvements	TBD	EE	\$34.94	\$40.37	No	No	\$20,000,000	\$417,600,000	
14	37	Hobart Sanitary District	\$58,829	29,758	INR040130	WW21424502	New Interceptor and Interceptor Improvements	\$0	N/A	\$49.76	\$66.57	No	No	\$45,600,000	\$463,200,000	
15	36	East Chicago Sanitary District	\$31,907	28,448	IN0022829	WW19244502	Plant, Collection System, and Interceptor Improvements	TBD	TBD	\$18.26	\$28.60	Yes	No	\$13,000,000	\$476,200,000	
16	35	Evansville	\$42,623	117,298	IN0033073	WW22678221	Combined Sewer Plan	\$0	N/A	\$70.15	\$70.15	Yes	No	\$43,700,000	\$519,900,000	
17	34	Bedford	\$42,893	13,792	IN0025623	WW22404702	Collection System Improvements	\$0	N/A	\$44.06	\$65.72	Yes	No	\$17,500,000	\$537,400,000	
18	34	South Dearborn Regional Sewer District	\$43,744	15,274	IN0024538	WW21241501	Plant Improvements	\$3,500,000	EE	\$46.07	\$67.26	Yes	No	\$28,000,000	\$565,400,000	
19	32	Greenfield	\$57,950	23,488	IN0020109	WW22213001	Plant Expansion	\$0	N/A	\$54.61	\$54.61	No	No	\$81,000,000	\$646,400,000	
20	29	South Bend	\$42,657	103,453	IN0024520	WW22647102	Plant Improvements	TBD	EE	\$13.66	\$13.66	Yes	No	\$5,700,000	\$652,100,000	
21	28	Indianapolis Department of Public Works	\$23,805	964,582	IN0023183	WW21454908	Collection System Improvements	\$0	N/A	\$1.35	\$1.35	Yes	No	\$19,000,000	\$671,100,000	
22	28	Jeffersonville	\$56,667	49,447	IN0063673	WW22051011	Plant Improvements	\$0	N/A	\$53.57	\$53.57	No	No	\$38,000,000	\$709,100,000	
23	25	Brownsburg	\$89,089	28,973	IN0021245	WW21173204	Collection System Improvements and New Interceptor	\$0	N/A	\$36.89	\$45.70	No	No	\$3,500,000	\$712,600,000	
24	24	Washington	\$40,080	12,017	IN0025658	WW19071402	Collection System Improvements	\$0	N/A	\$53.78	\$61.85	Yes	No	\$2,300,000	\$714,900,000	
25	22	Ben Davis Conservancy District	\$36,095	11,000	IN0023183	WW22444901	Collection System Improvements	\$0	N/A	\$47.30	\$59.00	Yes	No	\$8,600,000	\$723,500,000	
26	17	Whitestown	\$96,024	10,178	IN0064211	WW21220605	New Collection System and Collection System Improvements	\$0	N/A	\$57.12	\$57.12	No	No	\$14,000,000	\$737,500,000	
27	15	Ben Davis Conservancy District	\$36,095	11,000	IN0023183	WW18134901	New Plant	\$0	N/A	\$47.30	\$59.00	Yes	No	\$22,000,000	\$759,500,000	
<b>TOTAL REQUESTED FUNDS - PRELIMINARY ENGINEERING REPORTS (PERs)</b>								<b>\$7,910,200</b>						<b>\$759,500,000</b>		

PPL Rank <sup>1</sup>	PPL Score	Participant	MHI <sup>2</sup>	Population Served	NPDES No.	SRF Project No.	Project Description	Estimated Green Project Reserve Cost	Green Project Reserve Category <sup>3</sup>	Current User Rate (per 4,000 gallons) <sup>2</sup>	Estimated Post-Project User Rate (per 4,000 gallons) <sup>2</sup>	Participant meets SRF's Affordability Criteria <sup>4</sup> (Y/N)	Project eligible under §319 of the CWA (Yes/No)	Estimated Total Project Cost
Application Only		Steuben Lakes Regional Waste District	\$58,279	11,853	IN0061557	WW21637604	Plant Improvements	TBD	TBD	\$88.99	\$136.00	No	No	\$4,500,000
<b>TOTAL REQUESTED FUNDS - APPLICATIONS ONLY</b>								<b>\$0</b>						<b>\$4,500,000</b>
<b>TOTAL REQUESTED FUNDS - PERs &amp; APPLICATIONS</b>								<b>\$7,910,200</b>						<b>\$764,000,000</b>

**Footnotes:**

<sup>1</sup> A community must submit a complete Preliminary Engineering Report to the CWSRF Loan Program by May 1 in order for the project to be scored and ranked on the Project Priority List (PPL).

<sup>2</sup> Additional subsidization may be provided to participants who have a low Median Household Income (MHI) and/or high post-project user rates, as outlined in the Intended Use Plan (IUP). The amount of the additional subsidization shall be determined and set forth in the financial assistance agreement.

<sup>3</sup> EE = Energy Efficiency, EI = Environmentally Innovative, GI = Green Infrastructure, WE = Water Efficiency, CR = Climate Resiliency.

<sup>4</sup> SRF's Affordability Criteria is defined as a loan participant "below 80% of the State MHI and opens consideration to projects that positively impact smaller areas of disadvantage within a wider community." See SRF's Clean Water Intended Use Plan for additional information.

<sup>5</sup> The Fundable Range includes a maximum of \$25 million per borrower at a subsidized rate.

\* This project priority list was published on July 8, 2022 for a 3-week comment period.

**SFY 2023 - Clean Water (Small Systems)**  
Indiana Clean Water State Revolving Fund (CWSRF) Loan Program  
SFY 2023 Project Priority List, August 1, 2022, 1st Quarter - Final  
Projects Applying for Financial Assistance in State Fiscal Year 2023 (July 1, 2022 - June 30, 2023)  
Population Less than 10,000

PPL Rank <sup>1</sup>	PPL Score	Participant	MHI <sup>2</sup>	Population Served	NPDES No.	SRF Project No.	Project Description	Estimated Green Project Reserve Cost	Green Project Reserve Category <sup>4</sup>	Current User Rate (per 4,000 gallons) <sup>2</sup>	Estimated Post-Project User Rate (per 4,000 gallons) <sup>2</sup>	Participant Meets SRF's Affordability Criteria <sup>4</sup> (Yes/No)	Project Eligible Under §319 of the CWA (Yes/No)	Requested Funds	Cumulative Requested Funds	SFY 2023 Fundable Range (\$225 Million) <sup>5</sup>
1	69	LaPorte County Regional Sewer and Water District	\$51,830	1,226	IN0020931	WW22224603	Septic Elimination, Plant Expansion, and New Collection System	\$0	N/A	N/A	\$90.00	No	No	\$34,000,000	\$34,000,000	Fundable Range \$225 Million (Borrowers are Eligible for up to \$25 Million at a Subsidized Rate)
2	67	Churubusco	\$43,596	1,870	IN0021113	WW22359201	Septic Elimination, Plant Expansion, and Collection System Improvements	TBD	EE	\$44.51	\$90.00	Yes	No	\$12,100,000	\$46,100,000	
3	67	LaGrange County Regional Utility District	\$54,063	904	IN0064688	WW21394405	Septic Elimination, Plant Expansion, and New Collection System	\$0	N/A	N/A	\$77.00	No	No	\$11,900,000	\$58,000,000	
4	64	Dubois County Regional Sewer District	\$41,880	132	TBD	WW22421901	Septic Elimination, and New Plant and Collection System	\$0	N/A	\$0.00	\$350.00	Yes	No	\$17,900,000	\$75,900,000	
5	63	Steuben Lakes Regional Waste District (Pokagon State Park)	\$56,101	950	IN0061557	WW21577603	Septic Elimination and New Collection System	\$0	N/A	\$88.99	\$109.88	No	No	\$28,500,000	\$104,400,000	
6	62	Wheatland	\$43,173	390	IN0064777	WW21584201	Septic Elimination, and New Plant and Collection System	\$0	N/A	N/A	\$243.00	Yes	No	\$6,700,000	\$111,100,000	
7	62	Adams County Regional Sewer District	\$47,532	2,860	IN0039314	WW21520103	Septic Elimination and New Collection System	\$0	N/A	\$93.30	TBD	No	No	\$6,500,000	\$117,600,000	
8	62	Bear High Wolf Lake Regional Sewer District	\$56,789	320	IN0038822	WW21595701	Septic Elimination, Plant Improvements, and New Collection System	TBD	EE	\$74.65	\$117.13	No	No	\$5,400,000	\$123,000,000	
9	62	Tippecanoe & Chapman Lakes Regional Sewer District	\$61,366	4,395	IN0060917	WW21354301	Septic Elimination and New Collection System	\$0	N/A	\$0.00	\$200.00	No	No	\$5,500,000	\$128,500,000	
10	61	Winamac (Tippecanoe River State Park)	\$41,250	2,318	IN0020516	WW22076602	Septic Elimination and New Collection System	\$488,500	EE, CR	\$60.46	\$60.46	Yes	No	\$12,900,000	\$141,400,000	
11	59	Spencer	\$34,306	2,454	IN0020192	WW22316005	Plant Improvements and New Collection System	\$0	N/A	\$51.16	\$151.98	Yes	No	\$23,100,000	\$164,500,000	
12	59	Losantville	\$38,125	221	IN0031135	WW22026801	Septic Elimination and New Collection System	\$10,000	EE	\$0.00	\$664.16	Yes	No	\$8,600,000	\$173,100,000	
13	59	Waterloo	\$44,474	2,116	IN0020711	WW22041702	Plant and Sewer System Improvements, and New Collection System	\$0	N/A	\$72.81	\$108.49	Yes	No	\$14,000,000	\$187,100,000	
14	59	Frankton	\$51,714	1,775	IN0020028	WW21124801	Plant and Collection System Improvements, and New Collection System	TBD	EE	\$26.03	\$136.00	No	No	\$5,000,000	\$192,100,000	
15	59	Cloverdale (Lieber State Park)	\$51,908	2,060	IN0022616	WW22506701	Plant and Collection System Improvements, and New Interceptor	\$0	N/A	\$64.30	\$87.45	No	No	\$4,900,000	\$197,000,000	
16	59	Shirley	\$53,000	819	IN0024503	WW22363304	Plant Improvements and Collection System Improvements	TBD	EE	\$56.76	\$170.88	No	No	\$7,300,000	\$204,300,000	
17	59	Wells County Regional Sewer District	\$56,265	1,220	IN0022411	WW21509002	Septic Elimination and New Collection System	\$0	N/A	\$95.00	\$95.00	No	No	\$15,000,000	\$219,300,000	
18	58	Calumet Township (Lake County)	\$36,596	91,970	IN0022977	WW22464501	Septic Elimination and New Collection System	\$0	N/A	N/A	\$65.00	Yes	No	\$5,000,000	\$224,300,000	
19	55	Jasonville (Shakamak State Park)	\$34,906	1,983	IN0021008	WW22112801	Plant and Collection System Improvements	\$0	N/A	\$44.10	TBD	Yes	No	\$7,100,000	\$231,400,000	
20	55	North Liberty (Potato Creek State Park)	\$48,458	1,623	IN0025801	WW22457101	Septic Elimination and New Collection System	\$0	N/A	\$49.12	TBD	No	No	\$6,000,000	\$237,400,000	
21	55	Chrisney	\$56,083	465	IN0039306	WW21497401	Septic Elimination, Plant Improvements, and New Collection System	\$0	N/A	\$65.00	\$104.00	No	No	\$3,600,000	\$241,000,000	
22	53	Modoc	\$37,750	157	IN0031135	WW22036801	Plant and Collection System Improvements	\$1,376,260	EE	\$100.19	\$282.20	Yes	No	\$6,200,000	\$247,200,000	
23	53	Maysville Regional Water and Sewer District	\$57,104	1,577	TBD	WW22380203	Septic Elimination and New Collection System	\$0	N/A	\$55.40	\$268.00	No	No	\$2,900,000	\$250,100,000	
24	53	Americus, Buck Creek, and Colburn Regional Sewer District	\$68,000	741	TBD	WW21467901	New Plant and Collection System	\$0	N/A	\$0.00	\$258.42	No	No	\$10,000,000	\$260,100,000	
25	52	Northwest Shelby County Regional Sewer District	\$41,875	998	IN0047490	WW22597301	Septic Elimination, and New Plant and Collection System	\$0	N/A	N/A	\$317.82	Yes	No	\$21,700,000	\$281,800,000	
26	52	South Henry Regional Waste District	\$49,852	1,851	IN0055131	WW19223301	Plant and Collection System Improvements, and Interceptor Upgrades	TBD	EE	\$49.85	\$79.73	No	No	\$5,500,000	\$287,300,000	
27	52	Mulberry	\$49,167	1,231	IN0031976	WW22331201	Plant Expansion, New Collection System, and Interceptor Upgrades	\$0	N/A	\$69.34	\$129.75	No	No	\$4,400,000	\$291,700,000	
28	52	Nashville	\$50,069	1,256	IN0023876	WW21230701	Plant and Collection System Improvements	\$0	N/A	\$59.98	\$105.98	No	No	\$10,500,000	\$302,200,000	
29	52	Montgomery	\$63,500	792	IN0034932	WW22201401	Plant and Interceptor Upgrades	TBD	EE	\$58.48	\$151.57	No	No	\$4,800,000	\$307,000,000	
30	51	Whitley County Regional Water and Sewer District	\$61,153	519	IN0022624	WW18329201	Septic Elimination, and New Collection System and Interceptor	TBD	EE	\$0.00	\$248.00	No	No	\$6,100,000	\$313,100,000	
31	50	Flora	\$47,066	2,094	IN0020141	WW22180801	Plant Expansion and Collection System Improvements	\$66,700	WE	\$53.24	\$93.95	No	No	\$14,700,000	\$327,800,000	
32	50	Nashville (Brown County State Park)	\$50,069	1,256	IN0023876	WW21530702	New Collection System and Interceptor, and Collection System Improvements	\$0	N/A	\$59.98	\$59.98	No	No	\$3,400,000	\$331,200,000	
33	50	Brooklyn	\$58,984	2,511	IN0039772	WW21445503	Plant and Collection System Improvements	\$0	N/A	\$34.37	\$80.33	No	No	\$8,700,000	\$339,900,000	
34	50	Brown County Regional Sewer District	\$61,455	568	TBD	WW18170701	New Plant and Collection System	\$0	N/A	N/A	\$221.15	No	No	\$11,000,000	\$350,900,000	

PPL Rank <sup>1</sup>	PPL Score	Participant	MHI <sup>2</sup>	Population Served	NPDES No.	SRF Project No.	Project Description	Estimated Green Project Reserve Cost	Green Project Reserve Category <sup>4</sup>	Current User Rate (per 4,000 gallons) <sup>2</sup>	Estimated Post-Project User Rate (per 4,000 gallons) <sup>2</sup>	Participant Meets SRF's Affordability Criteria <sup>4</sup> (Yes/No)	Project Eligible Under §319 of the CWA (Yes/No)	Requested Funds	Cumulative Requested Funds	SFY 2023 Fundable Range (\$225 Million) <sup>5</sup>
35	49	Roachdale	\$48,636	840	IN0020052	WW22246701	Plant and Collection System Improvements	TBD	GI/EE	\$55.54	\$130.90	No	No	\$5,300,000	\$356,200,000	
36	48	Marshall (Turkey Run State Park)	\$58,235	274	IN0030244	WW21606101	Septic Elimination, Plant Improvements, and New Collection System	TBD	EE	N/A	\$65.00	No	No	\$13,500,000	\$369,700,000	
37	47	Ashley	\$46,711	1,026	IN0022292	WW22067602	Plant Improvements	TBD	EE	\$56.00	\$100.00	No	No	\$8,300,000	\$378,000,000	
38	47	Cumberland	\$67,241	5,954	IN0021300 IN0058009	WW22303003	Plant Expansion and New Collection System	TBD	EE	\$70.62	\$109.20	No	No	\$17,600,000	\$395,600,000	
39	46	Shipshewana	\$51,250	839	IN0040622	WW22344401	Plant Improvements	TBD	EE, EI	\$23.52	\$67.39	No	No	\$9,500,000	\$405,100,000	
40	46	Eiberfeld	\$83,889	644	IN0020788	WW22298705	Septic Elimination and New Collection System	\$0	N/A	\$65.42	\$170.00	No	No	\$5,100,000	\$410,200,000	
41	46	Lizton	\$89,485	511	IN0035173	WW22013201	Plant, Collection System, and Stormwater System Improvements	\$0	N/A	\$54.50	\$65.50	No	Yes	\$4,100,000	\$414,300,000	
42	45	Geneva	\$51,974	1,257	IN0039357	WW22170103	Collection System Improvements	\$0	N/A	\$46.45	\$73.39	No	No	\$2,700,000	\$417,000,000	
43	45	Harrison County Regional Sewer District	\$57,712	1,280	IN0042005	WW21303101	New Plant	\$0	N/A	\$75.86	\$97.12	No	No	\$2,100,000	\$419,100,000	
44	45	Roanoke	\$67,798	1,762	IN0021440	WW21253501	Plant Expansion	TBD	EE	\$38.39	\$97.60	No	No	\$9,900,000	\$429,000,000	
45	44	Pretty Lake Conservancy District	\$40,444	423	IN0020991	WW22635001	Septic Elimination and Collection System Improvements	\$0	N/A	\$119.73	\$147.66	Yes	No	\$1,200,000	\$430,200,000	
46	43	West College Corner	\$50,833	545	IN0039411	WW22378101	Plant Improvements and Interceptor Upgrades	\$0	N/A	\$60.42	\$102.35	No	No	\$1,800,000	\$432,000,000	
47	43	Brownstown	\$51,193	3,025	IN0022454	WW21513601	Plant, Collection, and Stormwater System Improvements	\$0	N/A	\$27.00	\$73.06	No	Yes	\$8,000,000	\$440,000,000	
48	42	Clinton	\$41,114	4,831	IN0022608	WW22128304	New Interceptor	\$0	N/A	\$49.65	\$63.95	Yes	No	\$6,000,000	\$446,000,000	
49	42	Clayton	\$73,194	908	IN0021431	WW21383203	Plant Improvements and New Interceptor	\$0	N/A	\$65.00	\$106.80	No	No	\$6,000,000	\$452,000,000	
50	41	Montpelier	\$37,143	1,540	IN0020117	WW22510503	Plant Improvements and Collection System Upgrades	\$0	N/A	\$65.18	\$135.54	Yes	No	\$6,700,000	\$458,700,000	
51	41	Bunker Hill	\$45,081	814	IN0020206	WW22275202	Plant and Collection System Improvements	TBD	EE	\$77.00	\$100.00	Yes	No	\$2,100,000	\$460,800,000	
52	41	Highland Sanitary District	\$63,699	9,025	IN0023060	WW21154501	New Interceptor	\$0	N/A	\$18.71	\$20.42	No	No	\$7,700,000	\$468,500,000	
53	41	Monrovia	\$80,662	1,643	IN0060640	WW21065501	Plant Expansion and Collection System Improvements	\$0	N/A	\$56.73	\$75.62	No	No	\$3,400,000	\$471,900,000	
54	40	Delphi	\$45,781	2,961	IN0021377	WW22570802	Plant Expansion, and Plant and Collection System Improvements	TBD	TBD	\$20.15	\$83.03	Yes	No	\$56,500,000	\$528,400,000	
55	40	Greentown	\$49,397	2,370	IN0021091	WW22583402	Interceptor Upgrades	\$0	N/A	\$101.52	\$130.73	No	No	\$4,800,000	\$533,200,000	
56	39	NineStar Connect	\$58,639	86	IN0036528	WW22433001	New Collection System and Collection System Improvements	\$0	N/A	\$48.27	\$71.54	No	No	\$1,800,000	\$535,000,000	
57	38	Swayzee	\$55,417	918	IN0037001	WW22152701	Plant Expansion and Collection System Improvements	\$0	N/A	\$43.36	\$108.11	No	No	\$4,800,000	\$539,800,000	
58	38	Tipton	\$63,451	5,275	IN0052804	WW22658001	Septic Elimination, and Plant and Collection System Improvements	TBD	EE	\$37.15	\$37.15	No	No	\$5,700,000	\$545,500,000	
59	37	Shoals	\$32,784	677	IN0040631	WW21615101	Plant, Collection System and Interceptor Improvements	\$0	N/A	\$37.76	\$65.23	Yes	No	\$2,000,000	\$547,500,000	
60	37	Upland	\$60,972	3,821	IN0036978	WW21542701	Plant, Collection and Stormwater System Improvements	\$0	N/A	\$38.55	\$70.00	No	Yes	\$10,600,000	\$558,100,000	
61	36	Portland	\$39,538	6,320	IN0020095	WW21343804	Plant Expansion	TBD	EE	\$34.56	\$47.00	Yes	No	\$6,900,000	\$565,000,000	
62	36	Daleville	\$48,333	1,651	Stormwater	WW19281801	New Stormwater System	TBD	GI	\$60.31	\$68.10	No	Yes	\$2,600,000	\$567,600,000	
63	36	Poseyville	\$50,511	966	IN0040517	WW22626501	Plant Improvements	TBD	TBD	\$66.16	\$96.75	No	No	\$1,900,000	\$569,500,000	
64	36	Trafalgar	\$71,688	1,422	IN0040681	WW21294102	Plant and Collection System Improvements	TBD	EE	\$74.46	\$101.16	No	No	\$5,800,000	\$575,300,000	
65	35	Dale	\$46,103	1,544	IN0021598	WW20094702	Plant and Collection System Improvements, and Interceptor Upgrades	\$2,000,000	EE	\$49.62	\$49.62	Yes	No	\$2,700,000	\$578,000,000	
66	34	Bremen	\$44,250	4,696	IN0020427	WW22265002	New Plant, Interceptor Upgrades, and Stormwater System Improvements	\$0	N/A	\$29.93	\$54.79	Yes	Yes	\$16,300,000	\$594,300,000	
67	32	Haubstadt	\$64,375	1,638	IN0021482	WW22542601	Plant Expansion and Interceptor Upgrades	\$0	N/A	\$45.96	\$86.02	No	No	\$12,100,000	\$606,400,000	
68	31	Blue Lake Conservancy District	\$43,359	737	IN0021113	WW22109201	Collection System and Interceptor Upgrades	\$0	N/A	\$89.75	\$140.46	Yes	No	\$2,000,000	\$608,400,000	
69	31	Charlestown	\$53,054	7,775	IN0020508	WW22131002	Plant Expansion	\$0	N/A	\$58.44	\$61.84	No	No	\$46,400,000	\$654,800,000	
70	31	Butler	\$57,543	2,635	IN0022462	WW22551702	Collection System Replacement	\$0	N/A	\$58.88	\$62.41	No	No	\$1,800,000	\$656,600,000	
71	31	Darlington	\$63,750	711	Stormwater	WW19255402	New Stormwater System and Nonpoint Source Reduction	\$0	N/A	\$65.65	\$118.16	No	Yes	\$1,100,000	\$657,700,000	



PPL Rank <sup>1</sup>	PPL Score	Participant	MHI <sup>2</sup>	Population Served	NPDES No.	SRF Project No.	Project Description	Estimated Green Project Reserve Cost	Green Project Reserve Category <sup>3</sup>	Current User Rate (per 4,000 gallons) <sup>2</sup>	Estimated Post-Project User Rate (per 4,000 gallons) <sup>2</sup>	Participant Meets SRF's Affordability Criteria <sup>4</sup> (Yes/No)	Project Eligible Under §319 of the CWA (Yes/No)	Requested Funds	Cumulative Requested Funds	SFY 2023 Fundable Range (\$225 Million) <sup>5</sup>
72	31	West Lebanon	\$65,909	678	IN0040738	WW22478601	Plant and Collection System Improvements	\$0	N/A	\$57.01	\$94.43	No	No	\$4,000,000	\$661,700,000	
73	30	Van Bibber Lake Conservancy District	\$38,854	460	IN0039624	WW22486701	Collection System Improvements	\$0	N/A	\$60.06	\$266.10	Yes	No	\$9,000,000	\$670,700,000	
74	30	Winslow	\$46,683	764	IN0040789	WW22496301	Collection System Improvements	\$0	N/A	\$57.84	\$127.84	No	No	\$6,000,000	\$676,700,000	
75	30	Holland	\$50,250	619	IN0023108	WW22251901	Plant Expansion	TBD	EE	\$55.53	\$176.88	No	No	\$6,600,000	\$683,300,000	
76	29	Monon	\$44,904	1,919	IN0021580	WW22149101	Plant Improvements	\$0	N/A	\$60.85	\$129.24	Yes	No	\$12,700,000	\$696,000,000	
77	27	Veedersburg	\$41,331	2,098	IN0024716	WW22082301	Plant and Collection System Improvements	TBD	EE	\$40.08	\$40.08	Yes	No	\$9,400,000	\$705,400,000	
78	27	Rochester	\$47,479	6,270	IN0021661	WW21082502	Plant Improvements and New Interceptor	\$0	N/A	\$28.78	\$42.90	No	No	\$4,600,000	\$710,000,000	
79	26	Wolcottville	\$51,000	1,004	Stormwater	WW22094401	Stormwater System Improvements and Nonpoint Source Reduction	\$0	N/A	\$53.98	\$63.98	No	Yes	\$2,800,000	\$712,800,000	
80	19	Bristol	\$54,276	1,789	IN0036846	WW22532001	Plant and Interceptor Improvements	\$0	N/A	\$32.20	\$65.11	No	No	\$10,800,000	\$723,600,000	
81	17	Spencer	\$34,306	2,454	Stormwater	WW22336006	Stormwater System Improvements	\$0	N/A	\$51.16	\$151.98	Yes	Yes	\$6,200,000	\$729,800,000	
82	15	Valparaiso Lakes Area Conservancy District	\$74,064	950	Stormwater	WW22666401	Stormwater System Improvements	\$0	N/A	\$41.00	\$43.24	No	Yes	\$600,000	\$730,400,000	
--	38	Atlanta	\$53,029	712	IN0022306	WW22682902	New Collection System and Interceptor	TBD	EE	TBD	\$114.00	No	No	\$11,100,000	\$741,500,000	
<b>TOTAL REQUESTED FUNDS - PRELIMINARY ENGINEERING REPORTS (PERs)</b>								<b>\$3,941,460</b>						<b>\$741,500,000</b>		

  

PPL Rank <sup>1</sup>	PPL Score	Participant	MHI <sup>2</sup>	Population Served	NPDES No.	SRF Project No.	Project Description	Estimated Green Project Reserve Cost	Green Project Reserve Category <sup>3</sup>	Current User Rate (per 4,000 gallons) <sup>2</sup>	Estimated Post-Project User Rate (per 4,000 gallons) <sup>2</sup>	Participant Meets SRF's Affordability Criteria <sup>4</sup> (Yes/No)	Project Eligible Under §319 of the CWA (Yes/No)	Estimated Total Project Cost
Application Only		Knightstown	\$55,000	2,140	IN0022683	WW22693301	Plant and Collection System Expansion	TBD	TBD	\$35.86	\$64.53	No	No	\$6,318,000
Application Only		Loogootee	\$42,500	2,601	IN0039541	WW21135101	Plant and Collection System Improvements	TBD	TBD	\$47.51	\$54.64	Yes	No	\$11,100,000
<b>TOTAL REQUESTED FUNDS - APPLICATIONS ONLY</b>								<b>\$0</b>					<b>\$17,418,000</b>	
<b>TOTAL REQUESTED FUNDS - PERs &amp; APPLICATIONS</b>								<b>\$3,941,460</b>					<b>\$758,918,000</b>	

**Footnotes:**

- <sup>1</sup> A community must submit a complete Preliminary Engineering Report by May 1 to the CWSRF Loan Program in order for the project to be scored and ranked on the Project Priority List (PPL).
- <sup>2</sup> Additional subsidization may be provided to participants who have a low Median Household Income (MHI) and/or high post-project user rates, as outlined in the Intended Use Plan (IUP). The amount of the additional subsidization shall be determined and set forth in the financial assistance agreement.
- <sup>3</sup> EE = Energy Efficiency, EI = Environmentally Innovative, GI = Green Infrastructure, WE = Water Efficiency, CR = Climate Resiliency.
- <sup>4</sup> SRF's Affordability Criteria is defined as a loan participant "below 80% of the State MHI and opens consideration to projects that positively impact smaller areas of disadvantage within a wider community." See SRF's Clean Water Intended Use Plan for additional information.
- <sup>5</sup> The Fundable Range includes a maximum of \$25 million per borrower at a subsidized rate.
- \* This project priority list was published on July 8, 2022 for a 3-week comment period.

**SFY 2023 - Drinking Water**  
 Indiana Drinking Water State Revolving Fund (DWSRF) Loan Program  
 SFY 2023 Project Priority List, July 1, 2022, 1st Quarter Final<sup>1</sup>  
 Projects Applying for Financial Assistance in State Fiscal Year 2023 (July 1, 2022 - June 30, 2023)

PPL Rank <sup>1</sup>	PPL Score	Participant	MHI <sup>2,3</sup>	Population Served	PWSID No(s)	SRF Project No.	Project Description	Lead Service Line Replacement Cost	Emerging Contaminants? <sup>4</sup>	Disadvantaged Community? <sup>5</sup>	Estimated Green Project Reserve Cost	Green Project Reserve Category <sup>6</sup>	Current User Rate (per 4,000 gallons) <sup>2</sup>	Estimated Post-Project User Rate (per 4,000 gallons) <sup>2</sup>	Requested Funds	Cumulative Requested Funds	SFY 2023 Fundable Range (\$100 Million)
1	92	Evansville - Treatment Plant	\$42,623	173,000	5282002	DW220482 03	New Treatment Plant	\$0	No	Yes	TBD	TBD	\$33.74	\$45.86	\$200,000,000	\$200,000,000	Fundable Range \$100 Million <small>(Borrowers are Eligible for up to \$10 Million at a Subsidized Rate)</small>
2	85	Northwest Jasper Regional Water District Distribution System Improvements	\$53,155	3,815	5237015	DW210837 02	Water Main Extension and Storage	\$0	No	Yes	\$0	N/A	\$44.01	\$49.50	\$5,500,000	\$205,500,000	
3	80	BBP Water Corporation	\$44,375	9,650	5260001	DW231260 02	Distribution Improvements	\$0	No	Yes	\$0	N/A	\$48.76	\$56.56	\$5,625,250	\$211,125,250	
4	77	Frankton	\$51,714	1,800	5248008	DW223648 01	Storage, Distribution, and Supply Improvements + Lead Service Line Replacement	\$3,201,000	No	Yes	TBD	TBD	\$42.28	\$236.58	\$21,362,000	\$232,487,250	
5	65	Poseyville	\$50,511	966	5265008	DW230565 01	Treatment Improvements	\$0	No	Yes	TBD	TBD	\$65.36	\$96.75	\$2,950,000	\$235,437,250	
6	52	Milton	\$39,688	650	5289011	DW224189 01	Storage, Treatment, Distribution Improvements + Lead Service Line Replacement	\$1,176,750	No	Yes	TBD	TBD	\$58.76	\$186.77	\$2,399,860	\$237,837,110	
7	50	Oxford	\$42,250	1,200	5204005	DW223404 01	Supply and Treatment Improvements	\$1,854,050	No	Yes	\$0	N/A	\$29.18	\$113.97	\$12,344,000	\$250,181,110	
8	49	LaFontaine	\$45,917	906	5285004	DW223085 02	Supply, Treatment, and Distribution System Improvements	\$872,000	No	Yes	\$0	N/A	\$41.95	\$101.59	\$4,223,700	\$254,404,810	
9	48	Monticello - RCA Neighborhood	\$42,565	5,300	5291011	DW220791 03	Distribution System Improvements + Lead Service Line Replacement	\$659,000	No	Yes	\$0	N/A	\$22.05	\$25.07	\$4,100,000	\$258,504,810	
10	48	Kentland	\$48,864	1,735	5256005	DW222156 01	Storage, Treatment, and Distribution Improvements	\$217,000	No	Yes	TBD	TBD	\$26.13	\$80.01	\$11,063,500	\$269,568,310	
11	48	LaCrosse	\$51,932	540	5246015	DW224446 02	Distribution Improvements + Lead Service Line Replacements	\$415,000	No	Yes	\$0	N/A	\$51.50	\$129.41	\$3,272,900	\$272,841,210	
12	48	Arcadia	\$55,566	1,700	5229001	DW222929 02	Treatment, Supply, and Distribution Improvements	\$3,083,200	No	Yes	\$0	N/A	\$36.19	\$96.98	\$7,889,820	\$280,731,030	
13	47	Chandler	\$55,903	19,295	5287002	DW181987 03	Distribution System Improvements	\$0	No	No	\$0	N/A	\$35.21	\$35.21	\$20,000,000	\$300,731,030	
15	46	Rensselaer	\$48,989	5,912	5237005	DW221937 02	Storage and Distribution Improvements + Lead Service Line Replacement	\$5,128,970	No	Yes	\$0	N/A	\$39.19	\$47.40	\$5,367,500	\$306,098,530	
16	45	Chalmers	\$61,023	550	5291003	DW230891 01	Distribution and Treatment Improvements + Lead Service Line Replacement	\$1,140,000	No	Yes	\$0	N/A	\$48.11	\$86.10	\$1,573,000	\$307,671,530	
17	44	Chesterfield	\$44,219	2,800	5249004	DW230648 01	Supply, Treatment, and Distribution System Improvements + Lead Service Line Replacement	\$5,560,000	No	Yes	\$0	N/A	\$23.80	\$73.59	\$14,179,736	\$321,851,266	
18	44	Columbus	\$67,387	44,061	5230002	DW211403 01	Supply, Treatment, and Distribution Improvements	\$0	Yes	No	\$0	N/A	\$9.82	\$16.72	\$22,200,000	\$344,051,266	
19	38	Winamac/Tippecanoe River State Park	\$41,250	2,400	5266005	DW221466 01	Connection to State Park	\$0	Yes	Yes	TBD	TBD	\$37.14	\$37.14	\$10,113,349	\$354,164,615	
20	38	Brownsburg	\$89,089	23,750	5232002	DW231032 02	Distribution Improvements + Lead Service Line Replacements	\$3,630,400	No	No	\$0	N/A	\$19.21	\$19.82	\$5,499,520	\$359,664,135	
21	35	Reelsville	\$64,583	2,800	5267006	DW221167 03	Well and Treatment Improvements	\$0	No	Yes	\$0	N/A	\$47.54	\$66.19	\$1,600,000	\$361,264,135	
22	34	Cambridge City	\$37,917	1,900	5289002	DW221689 02	Water Main Replacements and Storage Improvements	\$0	No	Yes	\$0	N/A	\$21.97	\$44.13	\$4,580,000	\$365,844,135	
23	34	Milan	\$46,700	1,899	5269003	DW221569 01	Storage Improvements and Water Main Replacements	\$0	No	Yes	TBD	TBD	\$37.20	\$68.45	\$2,918,175	\$368,762,310	
24	33	Lakeville	\$39,605	750	5271008	DW222571 01	Storage and Distribution System Improvements	\$0	No	Yes	TBD	TBD	\$31.40	\$100.04	\$7,540,000	\$376,302,310	
25	33	Atlanta	\$53,026	735	5229002	DW224029 03	Distribution and Storage Extensions	\$0	No	Yes	\$0	N/A	\$58.28	\$289.70	\$11,935,000	\$388,237,310	
26	32	Odon	\$30,368	1,379	5214005	DW223714 02	Treatment, Storage, and Supply Improvements	\$0	No	Yes	\$0	N/A	\$36.24	\$70.71	\$4,764,400	\$393,001,710	
27	32	Holt Community Water Corporation	\$60,055	2,363	5269008	DW223569 01	Water Main Replacements and Storage Improvements	\$0	No	Yes	\$0	N/A	\$54.18	\$77.50	\$3,987,377	\$396,989,086	
28	32	Valley Rural Utility Company	\$102,281	5,529	5215004	DW224615 01	Distribution Improvements	\$0	No	Yes	\$0	N/A	\$36.68	\$200.37	\$6,792,100	\$403,781,186	
29	31	Madison	\$41,568	11,967	5239006	DW220939 03	Treatment, Storage, and Distribution System Improvements	\$0	No	Yes	TBD	TBD	\$9.48	\$15.12	\$12,370,800	\$416,151,986	
30	31	Shirley	\$53,000	960	5233013	DW223930 01	Meter, Supply, and Treatment Improvements	\$0	No	Yes	TBD	TBD	\$50.67	\$93.15	\$3,384,000	\$419,535,986	
31	31	Hoosier Hills Regional Water District	\$56,314	8,642	5269002	DW210469 01	Storage and Distribution System Improvements and Water Main Extension	\$0	No	No	TBD	TBD	\$34.82	\$37.00	\$3,300,000	\$422,835,986	
32	31	LaPaz	\$62,596	574	TBD	DW230271 01	New Water Utility	\$0	No	Yes	TBD	WE, EE, EI	N/A	\$276.17	\$19,137,000	\$441,972,986	
33	30	Maysville Regional Water and Sewer District	\$56,303	1,634	5202037	DW220502 01	Water Main Extension, Storage, and Distribution System Improvements	\$0	No	Yes	\$0	N/A	\$36.25	\$65.00	\$2,500,000	\$444,472,986	
34	29	Laurel	\$19,846	560	5224004	DW222724 01	Storage and Treatment Improvements with Security Updates	\$0	No	Yes	\$0	N/A	\$26.00	\$70.25	\$1,745,000	\$446,217,986	
35	29	Evansville - Main Replacement	\$42,623	173,000	5282002	DW181282 02	Distribution System Improvements	\$0	No	Yes	\$0	N/A	\$33.74	\$45.86	\$97,000,000	\$543,217,986	
36	29	North Liberty/Potato Creek State Park	\$48,458	1,896	5271010	DW224571 01	Connection to State Park	\$0	No	No	\$0	N/A	\$42.41	\$42.41	\$6,473,450	\$549,691,436	

37	29	Ingalls	\$55,043	7,600	5248012	DW221148 02	Storage and Distribution System Improvements	\$0	No	Yes	TBD	TBD	\$38.96	\$45.00	\$2,093,009	\$551,784,445
38	28	Connerville	\$38,980	15,000	5221001	DW223821 01	Distribution Improvements	\$0	No	Yes	TBD	TBD	\$23.54	\$27.30	\$6,797,950	\$558,582,395
39	28	Angola/Pokagon SP/Trine SRA	\$45,957	8,612	5276001	DW231376 01	Connection to State Park and State Recreational Area	\$0	No	Yes	\$0	TBD	\$26.11	\$26.11	\$7,359,704	\$565,942,099
40	28	Lynn	\$49,722	1,149	5268004	DW222468 01	Storage and Distribution Improvements, Valve Updates	\$0	No	Yes	\$0	N/A	\$36.26	\$72.21	\$2,972,500	\$568,914,599
41	28	Swayzee	\$55,417	918	5227020	DW222227 02	Water Treatment System Improvements	\$0	No	Yes	TBD	TBD	\$34.13	\$67.16	\$2,381,508	\$571,296,107
42	28	Bourbon	\$60,976	1,767	5250002	DW221350 01	Treatment and Well Improvements	\$0	No	Yes	TBD	TBD	\$31.03	\$66.94	\$4,714,000	\$576,010,107
43	28	Wakarusa	\$64,432	1,800	5220029	DW223120 01	Supply and Water Treatment Plant Improvements	\$0	Yes	Yes	TBD	TBD	\$25.00	\$60.55	\$5,059,500	\$581,069,607
44	28	New Richmond	\$67,917	333	5254009	DW221754 01	New Wells and Water Mains & Improved Treatment and Distribution Systems	\$0	Yes	Yes	\$0	N/A	\$31.80	\$86.43	\$1,559,400	\$582,629,007
45	27	Williamsport	\$48,920	1,800	5286004	DW223386 02	Distribution and Storage Improvements	\$0	No	Yes	\$0	N/A	\$37.15	\$47.08	\$1,466,100	\$584,095,107
46	27	Davess County Rural Water	\$51,907	7,969	5214002	DW230414 01	Storage and Booster Station Improvements	\$0	No	Yes	\$0	N/A	\$45.16	\$53.16	\$4,667,000	\$588,762,107
47	27	Bristol	\$54,276	1,675	5220003	DW222820 01	Distribution and Storage Improvements	\$0	No	Yes	\$0	N/A	\$16.22	\$47.50	\$11,919,000	\$600,681,107
48	26	Andrews	\$43,125	1,149	5235001	DW160935 01	New Plant	\$0	No	Yes	TBD	TBD	\$38.48	\$147.99	\$5,200,000	\$605,881,107
49	26	Cloverdale	\$51,908	2,060	5267003	DW223267 01	Water Main Replacements	\$0	No	Yes	\$0	N/A	\$38.86	\$53.38	\$4,801,735	\$610,682,842
50	25	Centerville	\$46,500	2,533	5289003	DW231489 03	Distribution, Storage, and Treatment Improvements	\$2,560,000	No	Yes	\$0	N/A	\$38.38	\$90.00	\$13,289,000	\$623,971,842
51	25	Brown County Water Utility	\$58,765	11,300	5207001	DW201407 03	Supply and Distribution System Improvements	\$0	No	Yes	\$0	N/A	\$51.08	\$55.64	\$4,300,000	\$628,271,842
52	24	Washington	\$40,080	13,690	5214007	DW190414 01	Distribution System Improvements	\$0	No	Yes	\$0	N/A	\$33.79	\$38.86	\$3,300,000	\$631,571,842
53	23	Attica	\$55,000	3,147	5223001	DW220823 01	Treatment, Storage, and Distribution System Improvements	\$0	No	No	\$0	N/A	\$33.25	\$26.99	\$2,400,000	\$633,971,842
54	23	Greenfield	\$57,950	21,000	5230004	DW221230 01	Storage and Distribution System Improvements	\$0	No	No	TBD	TBD	\$30.00	\$36.00	\$10,926,000	\$644,897,842
55	22	Middlebury	\$85,694	3,572	5220014	DW231120 02	Treatment and Storage Improvements	\$0	No	Yes	\$0	N/A	\$36.66	\$76.43	\$18,238,000	\$663,135,842
56	21	Spiceland	\$54,844	940	5233016	DW222633 03	Supply and Treatment Improvements	\$0	Yes	Yes	\$0	N/A	\$52.20	\$101.40	\$6,541,000	\$669,676,842
57	20	Elkhart County Regional Sewer District	\$58,509	3,849	TBD	DW230720 01	New Water Utility	\$0	No	Yes	\$0	N/A	N/A	\$56.33	\$13,120,045	\$682,796,887
58	19	East Chicago - Storage and Treatment	\$35,396	27,457	5245012	DW224345 07	Storage and Treatment Improvements	\$0	No	Yes	TBD	TBD	\$7.36	\$7.36	\$12,850,000	\$695,646,887
59	16	Carmel	\$115,109	90,173	5229004	DW181129 01	Treatment, Storage and Distribution System Improvements	\$0	No	No	TBD	TBD	\$25.31	\$29.87	\$51,000,000	\$746,646,887
60	6	Tipton	\$63,451	5,200	5280004	DW230380 01	Storage and Treatment Improvements	\$0	No	No	\$0	N/A	\$37.15	\$37.15	\$2,541,000	\$749,187,887
--	26	Otterbein	\$51,250	1,262	5204004	DW232004 01	Treatment, Storage, and Distribution System Improvements	\$0	No	Yes	TBD	WE	\$57.92	\$65.00	\$1,560,000	\$750,747,887
<b>TOTAL REQUESTED FUNDS - PRELIMINARY ENGINEERING REPORTS (PERs)</b>								<b>\$29,497,370</b>			<b>\$0</b>				<b>\$750,747,887</b>	

PPL Rank <sup>1</sup>	PPL Score	Participant	MHI <sup>2,3</sup>	Population Served	PWSID No(s)	SRF Project No.	Project Description	Lead Service Line Replacement Cost	Emerging Contaminants?	Disadvantaged Community?	Estimated Green Project Reserve Cost	Green Project Reserve Category <sup>4</sup>	Current User Rate (per 4,000 gallons) <sup>2</sup>	Estimated Post-Project User Rate (per 4,000 gallons) <sup>2</sup>	Estimated Total Project Cost	Cumulative Total
Application Only		Crothersville	\$44,900	1,524	5236001	DW231736 01	Distribution and Storage Improvements + Lead Service Line Replacements	TBD	TBD	Yes	TBD	TBD	\$57.30	\$60.00	\$10,647,260	\$10,647,260
Application Only		Hymers	\$38,194	800	5277004	DW231977 01	Storage Improvements	TBD	TBD	Yes	TBD	TBD	\$48.56	\$55.36	\$1,342,500	\$11,989,760
Application Only		Knightstown	\$55,000	2,223	5236001	DW231833 01	Storage and Distribution System Improvements	TBD	TBD	No	TBD	TBD	\$35.86	\$43.00	\$15,607,864	\$27,597,624
Application Only		Loogootee	\$42,500	3,915	5251005	DW210251 01	Storage and Distribution System Improvements	TBD	TBD	Yes	TBD	TBD	\$31.54	\$46.35	\$2,700,000	\$30,297,624
Application Only		Valparaiso Lakes Area Conservancy District	\$67,273	2,565	5264033	DW222064 01	Water Main Looping	TBD	TBD	No	TBD	TBD	\$41.00	\$43.24	\$340,000	\$30,637,624
<b>TOTAL REQUESTED FUNDS - APPLICATIONS ONLY</b>								<b>\$0</b>			<b>\$0</b>				<b>\$30,637,624</b>	

<b>TOTAL REQUESTED FUNDS - PERs &amp; APPLICATIONS</b>								<b>\$29,497,370</b>			<b>\$0</b>				<b>\$781,385,511</b>	
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**Footnotes:**  
<sup>1</sup> A community must submit a complete Preliminary Engineering Report to the DWSRF Loan Program in order for the project to be scored and ranked on the Project Priority List (PPL).  
<sup>2</sup> Additional subsidization may be provided to participants who have a low Median Household Income (MHI) and/or high post-project user rates as outlined in the Intended Use Plan (IUP). The amount of the additional subsidization shall be determined and set forth in the financial assistance agreement.  
<sup>3</sup> The Indiana DWSRF Loan Program defines a Disadvantaged Community in Section VII of the IUP.  
<sup>4</sup> Emerging Contaminants funds are reserved for DWSRF eligible projects whose primary purpose must be to address emerging contaminants, with a focus on PFAS, using the broad CCL 1 - 5.  
<sup>5</sup> Disadvantaged Community determinations in this PPL are based on MHI and rates provided at the time the PPL was posted for public notice. Additional information on populations positively impacted by the project may be submitted and considered prior to loan closing.  
<sup>6</sup> EE = Energy Efficiency, EI = Environmentally Innovative, GI = Green Infrastructure, WE = Water Efficiency, CR = Climate Resiliency.  
\* This project priority list was published on July 1, 2022 for a 3-week comment period.

**SFY 2023 - Drinking Water**  
 Indiana Drinking Water State Revolving Fund (DWSRF) Loan Program  
 SFY 2023 Project Priority List, July 1, 2022, 1st Quarter Draft\*  
 Projects Applying for Financial Assistance in State Fiscal Year 2023 (July 1, 2022 - June 30, 2023)

PPL Rank <sup>1</sup>	PPL Score	Participant	MHI <sup>2-3</sup>	Population Served	PWSID No(s)	SRF Project No.	Project Description	Lead Service Line Replacement Cost	Emerging Contaminants? <sup>4</sup>	Disadvantaged Community? <sup>5</sup>	Estimated Green Project Reserve Cost	Green Project Reserve Category <sup>6</sup>	Current User Rate (per 4,000 gallons) <sup>1</sup>	Estimated Post-Project User Rate (per 4,000 gallons) <sup>2</sup>	Requested Funds	Cumulative Requested Funds	SFY 2023 Fundable Range (\$40 Million)
1	68	Fort Wayne	\$51,454	270,402	5202020	DW221802 06	Lead Service Line Replacement	\$11,091,300	No	No	\$0	N/A	\$23.84	\$30.32	\$11,091,300	\$11,091,300	<b>Fundable Range \$40 Million</b> <small>(Borrowers are Eligible for up to \$10 Million at a Subsidized Rate)</small>
2	51	Wheatland	\$43,173	480	5242016	DW220142 01	Lead Service Line Replacement	\$1,050,000	No	Yes	TBD	TBD	\$42.47	\$113.71	\$1,050,000	\$12,141,300	
3	37	Butler	\$57,534	2,700	5217003	DW231617 01	Lead Service Line Replacement	\$2,650,000	No	Yes	\$0	N/A	\$41.80	\$47.40	\$2,650,000	\$14,791,300	
4	36	Elkhart	\$40,101	53,923	5220008	DW222320 01	Lead Service Line Replacement	\$600,000	No	Yes	TBD	TBD	\$10.20	\$10.20	\$600,000	\$15,391,300	
5	35	Logansport	\$38,053	18,369	5209012	DW231509 01	Lead Service Line Replacement	\$24,255,700	No	Yes	\$0	N/A	\$29.31	\$37.58	\$24,255,700	\$39,647,000	
6	30	East Chicago - Lead Service Line Replacement	\$35,396	27,457	5245012	DW224245 06	Lead Service Line Replacement	\$10,250,000	No	Yes	TBD	TBD	\$7.36	\$7.36	\$10,250,000	\$49,897,000	
7	28	Rushville	\$53,789	6,208	5270005	DW230970 01	Lead Service Line Replacement + Inventory	\$518,000	No	No	\$0	N/A	\$20.92	\$30.00	\$518,000	\$50,415,000	
<b>TOTAL REQUESTED FUNDS - PRELIMINARY ENGINEERING REPORTS (PERs)</b>								<b>\$50,415,000</b>			<b>\$0</b>				<b>\$50,415,000</b>		

PPL Rank <sup>1</sup>	PPL Score	Participant	MHI <sup>2-3</sup>	Population Served	PWSID No(s)	SRF Project No.	Project Description	Lead Service Line Replacement Cost	Emerging Contaminants? <sup>4</sup>	Disadvantaged Community? <sup>5</sup>	Estimated Green Project Reserve Cost	Green Project Reserve Category <sup>6</sup>	Current User Rate (per 4,000 gallons) <sup>1</sup>	Estimated Post-Project User Rate (per 4,000 gallons) <sup>2</sup>	Estimated Total Project Cost	Cumulative Total
No 100% Lead Service Line Replacement Projects have submitted only applications (no attached PERs) as of May 1st, 2022																
<b>TOTAL REQUESTED FUNDS - APPLICATIONS ONLY</b>								<b>\$0</b>			<b>\$0</b>				<b>\$0</b>	
<b>TOTAL REQUESTED FUNDS - PERs &amp; APPLICATIONS</b>								<b>\$50,415,000</b>			<b>\$0</b>				<b>\$50,415,000</b>	

**Footnotes:**  
<sup>1</sup> A community must submit a complete Preliminary Engineering Report to the DWSRF Loan Program in order for the project to be scored and ranked on the Project Priority List (PPL).  
<sup>2</sup> Additional subsidization may be provided to participants who have a low Median Household Income (MHI) and/or high post-project user rates as outlined in the Intended Use Plan (IUP). The amount of the additional subsidization shall be determined and set forth in the financial assistance agreement.  
<sup>3</sup> The Indiana DWSRF Loan Program defines a Disadvantaged Community in Section VII of the IUP.  
<sup>4</sup> Emerging Contaminants funds are reserved for DWSRF eligible projects whose primary purpose must be to address emerging contaminants, with a focus on PFAS, using the broad CCL 1 - 5.  
<sup>5</sup> Disadvantaged Community determinations in this PPL are based on MHI and rates provided at the time the PPL was posted for public notice. Additional information on populations positively impacted by the project may be submitted and considered prior to loan closing.  
<sup>6</sup> EE = Energy Efficiency, EI = Environmentally Innovative, GI = Green Infrastructure, WE = Water Efficiency, CR = Climate Resiliency.  
 \* This project priority list was published on July 1, 2022 for a 3-week comment period.

Exhibit E  
SFY 2023 Clean Water SRF Loan Program Quarterly Interest Rates<sup>1</sup>

State Fiscal Year (SFY) By Quarter (Qtr)	User Rates (Over \$50)	User Rates (\$30 to \$50)	User Rates (Under \$30)
Tier III (MHI <sup>2</sup> : under \$46,588)			
1 <sup>st</sup> Qtr SFY 2023	2.00%	2.00%	2.20%
2 <sup>nd</sup> Qtr SFY 2023	2.00%	2.12%	2.87%
3 <sup>rd</sup> Qtr SFY 2023	2.00%	2.00%	2.44%
4 <sup>th</sup> Qtr SFY 2023	2.00%	2.00%	2.44%
Tier II (MHI: \$46,589 to 58,234)			
1 <sup>st</sup> Qtr SFY 2023	2.00%	2.00%	2.45%
2 <sup>nd</sup> Qtr SFY 2023	2.12%	2.37%	2.87%
3 <sup>rd</sup> Qtr SFY 2023	2.00%	2.19%	2.69%
4 <sup>th</sup> Qtr SFY 2023	2.00%	2.19%	2.69%
Tier I (MHI: over \$58,235)			
1 <sup>st</sup> Qtr SFY 2023	2.00%	2.20%	2.70%
2 <sup>nd</sup> Qtr SFY 2023	2.37%	2.62%	3.12%
3 <sup>rd</sup> Qtr SFY 2023	2.19%	2.44%	2.94%
4 <sup>th</sup> Qtr SFY 2023	2.19%	2.44%	2.94%

<sup>1</sup> Up to an additional .50% reduction may be permitted if a nonpoint source project is financed along with a point source project or a project that includes green/sustainable, including climate resiliency, components.

<sup>2</sup> Median Household Income reflected in the 2016-2020 ACS 5-year Estimate data.

SFY 2023 Drinking Water SRF Loan Program Quarterly Interest Rates<sup>3</sup>

State Fiscal Year (SFY) By Quarter (Qtr)	User Rates (Over \$45)	User Rates (\$25 to \$45)	User Rates (Under \$25)
Tier III (MHI <sup>4</sup> : under \$46,588)			
1 <sup>st</sup> Qtr SFY 2023	2.00%	2.00%	2.20%
2 <sup>nd</sup> Qtr SFY 2023	2.00%	2.12%	2.62%
3 <sup>rd</sup> Qtr SFY 2023	2.00%	2.00%	2.44%
4 <sup>th</sup> Qtr SFY 2023	2.00%	2.00%	2.44%
Tier II (MHI: \$46,589 to \$58,234)			
1 <sup>st</sup> Qtr SFY 2023	2.00%	2.00%	2.45%
2 <sup>nd</sup> Qtr SFY 2023	2.12%	2.37%	2.87%
3 <sup>rd</sup> Qtr SFY 2023	2.00%	2.19%	2.69%
4 <sup>th</sup> Qtr SFY 2023	2.00%	2.19%	2.69%
Tier I (MHI: over \$58,235)			
1 <sup>st</sup> Qtr SFY 2023	2.00%	2.20%	2.70%
2 <sup>nd</sup> Qtr SFY 2023	2.37%	2.62%	3.12%
3 <sup>rd</sup> Qtr SFY 2023	2.19%	2.44%	2.94%
4 <sup>th</sup> Qtr SFY 2023	2.19%	2.44%	2.94%

<sup>3</sup> Up to an additional .50 percent reduction is possible if a project is financed that includes green/sustainable, including climate resiliency, components. The SRF interest rate may also be reduced if a project includes lead line replacement.

<sup>4</sup> Median Household Income reflected in the 2016-2020 ACS 5-year Estimate data.

Exhibit F  
Financial Assistance Provided

Community	SFY	Funding Amount (dollars)	Funding Type	Interest Rate	Loan Term (yrs)	Date Closed	Estimated Savings <sup>1</sup>	Population	AMP Cert Date	Participated in a Regional Meeting	Non-Revenue Water Audit Submitted
Clayton	2021	681,000	Loan	2.00%	20	3/30/2021	35,926	998	<i>pending</i>	Yes	n/a
Clayton	2021	844,000	Loan	2.30%	35	3/30/2021	<i>See above</i>	998	<i>pending</i>	Yes	n/a
Middlebury	2021	1,264,000	Loan	2.00%	20	4/9/2021	61,300	3,572	11/4/2020	Yes	n/a
Middlebury	2021	997,000	Loan	2.30%	35	4/9/2021	<i>See above</i>	3,572	11/4/2020	Yes	n/a
Warren	2021	1,355,000	Loan	2.00%	20	4/22/2021	260,000	1,237	<i>pending</i>	Yes	Yes
Brownsburg	2021	1,770,000	Loan	2.00%	20	5/28/2021	-	25,264	<i>pending</i>	Yes	n/a
Brownsburg	2021	2,140,000	Loan	2.30%	35	5/28/2021	-	25,264	<i>pending</i>	Yes	n/a
Chesterfield	2021	2,298,000	Loan	0.83%	20	5/28/2021	315,100	2,336	<i>pending</i>	Yes	n/a
LaGrange, Town	2021	7,232,000	Loan	2.00%	20	6/24/2021	-	2,625	<i>pending</i>	Yes	Yes
Thorntown	2022	679,000	Loan	2.12%	20	11/10/2021	261,099	1429	<i>pending</i>	Yes	n/a
Wakarusa	2022	3,297,000	Loan	2.12%	20	11/18/2021	-	1758	12/6/2022	Yes	n/a
New Castle	2022	600,000	Grant	n/a	n/a	12/1/2021	844,333	17,424	9/17/2021	Yes	n/a
Elwood	2022	1,466,000	Forgivable Loan	0.00%	n/a	3/15/2022	1,638,255	8,449	<i>pending</i>	Yes	n/a
Dillsboro	2022	441,000	Loan	2.00%	20	4/13/2022	2,109,527	2,000	<i>pending</i>	Yes	Yes
Dillsboro	2022	887,000	Loan	2.10%	25	4/13/2022	-	2,000	<i>pending</i>	Yes	Yes
Dillsboro	2022	1,328,000	Grant	n/a	n/a	4/13/2022	-	2,000	<i>pending</i>	Yes	Yes
Adams County RSD	2022	1,400,000	Grant	n/a	n/a	4/29/2022	2,014,939	34,387	<i>pending</i>	Yes	n/a
Ridgeville	2022	1,406,500	Loan	2.00%	20	6/14/2022	349,516	544	<i>pending</i>	Yes	n/a
West Terre Haute	2022	1,652,000	Loan	2.00%	20	6/16/2022	410,523	2,236	3/5/2021	Yes	n/a
Cromwell	2022	2,625,000	Forgivable Loan	0.00%	n/a	6/28/2022	2,820,777	550	<i>pending</i>	Yes	Yes
Hanover	2022	6,483,000	Loan	2.00%	20	6/30/2022	1,611,030	5,060	<i>pending</i>	Yes	n/a
Whitley County	2023	600,000	Grant	n/a	n/a	9/1/2022	962,911	655	<i>pending</i>	Yes	n/a
North Liberty	2023	340,000	Grant	n/a	n/a	9/27/2022	545,650	1,626	<i>pending</i>	Yes	n/a

<sup>1</sup> As compared to estimated open market rates, based on WAF funding only  
Exhibit F: Financial Assistance Provided Project Details

Maysville RWS	2023	2,137,000	Loan	0.00%	20	9/30/2022	2,430,858	1,634	<i>pending</i>	Yes	Yes
Tall Oaks	2023	615,725	Grant	n/a	n/a	10/14/2022	906,122	75	n/a	Yes	n/a
Shoals	2023	505,000	Loan	3.5	20	12/2/2022	99,803	677	8/19/2021	Yes	n/a
Pretty Lake	2023	1,000,000	Forgivable Loan	0.00%	n/a	12/15/2022	1,604,852	423	<i>pending</i>	Yes	n/a
Pretty Lake	2023	1,106,000	Loan	3.50%	20	12/15/2022	218,579	423	<i>pending</i>	Yes	n/a
Warsaw	2023	3,490,000	Loan	0.00%	17	12/15/2022	1,646,006	15,865	<i>pending</i>	Yes	n/a
Tall Oaks	2023	156,000	Loan	0.00%	10	1/31/2023	46,027	75	n/a	Yes	n/a
Fishers	2023	215,000	Grant	n/a	n/a	3/9/2023	316,402	90	n/a	Yes	n/a
Arcadia	2023	693,000	Loan	2.00%	20	3/31/2023	264,530	1,514	<i>pending</i>	Yes	n/a
New Castle	2023	18,876	Grant	n/a	n/a	4/27/2023	27,167	17,424	9/17/2021	Yes	n/a
Chesterfield	2023	335,000	Loan	2.00%	20	5/3/2023	127,875	2,336	3/4/2023	Yes	n/a

40% of \$60,000,000 required to go to small utilities <sup>2</sup>	\$ 24,000,000
Actual amount of funds provided to small utilities 2021-2023:	\$ 32,428,225
Total Amount funded in program 2021-2023:	\$ 52,057,101
Total Estimated Savings in program 2021-2023:	\$ 21,929,107

<sup>2</sup> Small utilities defined as serving less than 3,200 customers.



Exhibit F  
Project Details

<b>Participant: Clayton</b>			
Grant or Loan:	Loan	Loan Closed:	March 30, 2021
Loan Amount A:	\$ 681,000	System Type:	Wastewater
Loan Amount B:	\$ 844,000		
Affected Population:	998	AMP Certification:	<i>pending</i>
Loan Term A:	20 years	Regional Meeting Participation:	Attended
Loan Term B:	35 years		
Interest Rate A:	2.00%	Non-Revenue Water Audit:	n/a
Interest Rate B:	2.30%		
<p><b>Project Description:</b></p> <p>The Town of Clayton wastewater treatment plant is in need of capital improvements to meet current state and federal regulations. The system is not able to meet peak flow events and most components were at the end of their useful life.</p> <p>The Wastewater System Improvements include:</p> <p><u>The Sanitary Sewer Rehabilitation Project</u> Repair and rehabilitation of select sanitary sewer segments, including the cleaning and CCTV inspection of approximately 29,360 linear feet of existing sanitary sewer main; lining of approximately 7,140 linear feet of existing sanitary sewer main, the location and inspection of approximately 32 buried manholes; rehabilitation of approximately 100 manholes; raising of approximately ten manholes; replacement of approximately ten manhole frames/covers; and miscellaneous sewer point repairs, disconnections, and/or other incidental improvements, depending on results of CCTV inspection.</p> <p><u>The Wastewater Treatment Plant Upgrades Project</u> Repair and rehabilitation of select components at the North Package Wastewater Treatment Plant, including north tank metals surface preparation and coating rehabilitation; replacement of existing diffused aeration equipment in the package plant aeration tank with new fine bubble diffused aeration equipment; demolition of existing air lift pumps; replacement of miscellaneous air lift pumps and related piping; repair and/or replacement of handrails, grating and piping at the plant; and miscellaneous concrete tank repairs.</p> <p>The project allows the system to meet the needs of the current population, increases safety at the plant, protects Mud Creek from potential overflow events, and allows the system to function efficiently in the future. This participant qualified for extended term financing due to the useful life of the project going beyond 20 years.</p>			

<b>Participant: Middlebury</b>			
Grant or Loan:	Loan	Loan Closed:	April 9, 2021
Loan Amount A:	\$ 1,264,000	System Type:	Wastewater
Loan Amount B:	\$ 997,000		
Affected Population:	3,572	AMP Certification:	November 4, 2020
Loan Term A:	20	Regional Meeting Participation:	Attended
Loan Term B:	35		
Interest Rate A:	2.00%	Non-Revenue Water Audit:	n/a
Interest Rate B:	2.30%		
<p><b>Project Description:</b></p> <p>The residents in the Southwest Quadrant area of the Town of Middlebury currently have private septic systems and absorption fields. The area is noted to have soils that are very limited for this use and many homes have failing systems and have gone through the expensive process of replacing their systems multiple times. Soil borings in the area show that the groundwater is only five to ten feet below grade, meaning the failing septic systems could potentially cause groundwater contamination.</p> <p>To eliminate these concerns the Town will extend sewers to the project area. The project includes the installation of:</p> <ul style="list-style-type: none"> <li>• Approximately 7,800 lineal feet of 8-inch diameter gravity sewer and appurtenances;</li> <li>• Approximately 1,800 lineal feet of 6-inch diameter force main and appurtenances;</li> <li>• One new duplex lift station;</li> <li>• Three residential grinder pump stations; and</li> <li>• 76 sanitary sewer connections.</li> </ul> <p>The project protects public health by protecting groundwater from failing septic systems and improves the North Branch Pine Creek which is currently noted on the Indiana 303(d) list of impaired waters. The residents of the project area will no longer face the cost of maintaining and replacing onsite septic systems. This participant qualified for extended term financing due to the useful life of the project going beyond 20 years.</p>			

<b>Participant: Warren</b>			
Grant or Loan:	Loan	Loan Closed:	April 26, 2021
Loan Amount:	\$ 1,355,000	System Type:	Drinking Water
Affected Population:	1,237	AMP Certification:	<i>pending</i>
Loan Term:	20	Regional Meeting Participation:	Attended
Interest Rate:	2.00%	Non-Revenue Water Audit:	Submitted
<p><b>Project Description:</b></p> <p>The Town of Warren’s water treatment system requires updates and rehabilitation due to aging components. The Park Water elevated storage tank was originally constructed in 1957 and recent inspections revealed critical safety deficiencies. The tank has been patched many times due to substantial leaks and due to system elevations, the tank cannot be completely filled, meaning the system cannot meet storage capacity requirements. The 11<sup>th</sup> Street elevated storage tank was originally constructed in 1994. Recent inspections revealed critical safety deficiencies and rehabilitation needs. Further, the Town’s Well No. 3 has components that are inconsistent with other wells in the system and at the end of its useful life. The well also has an improperly functioning check valve which allows backwater into the pump and well.</p> <p>The project consists of the following improvements to the Town of Warren’s system:</p> <ul style="list-style-type: none"> <li>• Upgrades and modifications to Well No. 3, including replacement of the existing submersible pump with an above ground vertical turbine pump and construction of a well house;</li> <li>• The construction of a new 200,000 gallon elevated storage tank to replace the Park Tower; and</li> <li>• The rehabilitation of the 11<sup>th</sup> Street elevated storage tank.</li> </ul> <p>The proposed projects protects public health by providing safe drinking water with reliable quantity, quality, and service, allows the system to meet capacity requirements, and allows the system to function efficiently, and meet future needs.</p>			

<b>Participant: Chesterfield</b>			
Grant or Loan:	Loan	Loan Closed:	May 28, 2021
Loan Amount:	\$ 2,298,000	System Type:	Wastewater
Affected Population:	2,336	AMP Certification:	March 4, 2023
Loan Term:	20 years	Regional Meeting Participation:	Attended
Interest Rate:	2.00%	Non-Revenue Water Audit:	n/a
<p><b>Project Description:</b></p> <p>The Town of Chesterfield operates a 1 MGD Wastewater Treatment Plant (WWTP) and serves the Town of Chesterfield, IDNR Mounds State Park, and the Town of Daleville. The Town’s NPDES permit was renewed to include phosphorus treatment requirements. The WWTP suffers operational issues that must be addressed, including the release of an unknown gas that is currently volatized from the waste stream. In the collection system, the existing Mounds Lift Station has exceeded its useful life and is at risk of complete failure. In addition, the configuration of the existing station creates a safety risk.</p> <p>To resolve these issues, improvements at the WWTP include:</p> <ul style="list-style-type: none"> <li>• Installation of a chemical phosphorus removal system, including building, chemical feed skid, storage tank, and piping;</li> <li>• Installation of an air scrubber system and air testing at the Main Lift Station;</li> <li>• Installation of an aeration blower system, including four new aeration blowers with VFDs and enclosures; and</li> <li>• Miscellaneous improvements, including sludge pump room access door, vent and fan; blower room air dryer; screenings dumpster for vacuum truck; site storm drain; modification of sludge holding piping; and relocation of surge pump electrical box.</li> </ul> <p>The Mounds Lift Station Replacement includes:</p> <ul style="list-style-type: none"> <li>• Installation of a submersible lift station at Mounds State Park, including new flow meter; and</li> <li>• Abandonment of the existing lift station.</li> </ul> <p>The chemical phosphorus removal system ensures the Town complies with the discharge permit and reduces phosphorus loads to the West Fork of the White River. The installation of an air scrubber at the Main Lift Station addresses unknown gas being released, reducing possible adverse health effects. The new blowers and miscellaneous improvements replace components at the WWTP that are undersized and/or near the end of their useful life, improves existing unit processes, and allows continued compliance with the discharge permit. This participant qualified for extended term financing due to the useful life of the project going beyond 20 years.</p>			

<b>Participant: Brownsburg</b>			
Grant or Loan:	Loan	Loan Closed:	May 28, 2021
Loan Amount A:	\$ 1,770,000	System Type:	Wastewater
Loan Amount B:	\$ 2,140,000		
Affected Population:	25,264	AMP Certification:	March 30, 2023
Loan Term A:	20	Regional Meeting Participation:	Attended
Loan Term B:	35		
Interest Rate A:	2.00%	Non-Revenue Water Audit:	n/a
Interest Rate B:	2.30%		
<p><b>Project Description:</b></p> <p>The Town of Brownsburg and the surrounding county is one of the fastest growing areas in Indiana. With the high growth in the area, the limited remaining sewer capacity will be utilized soon. Once the system is at capacity it can no longer accommodate additional growth and may not be able to properly capture and treat wet weather flows.</p> <p>The Brownsburg Sanitary Sewer System Improvements Phase 1B project consists of the following:</p> <ul style="list-style-type: none"> <li>• Construction of the Northeast Interceptor, including approximately 6,910 linear feet of open cut gravity sanitary sewer main, approximately eighteen manholes, approximately 150 linear feet of jack and bore casing under County Road 700N; and redirection of flow from the existing Lake Ridge Lift Station to the new Northeast Interceptor by the construction of approximately 4,500 linear feet of open cut sanitary sewer force main.</li> <li>• Construction of the North Regional Lift Station, including the construction of approximately 1,300 linear feet of open cut and approximately 250 linear feet of horizontal directionally drilled sanitary sewer force main, approximately 20 linear feet of open cut gravity sanitary sewer, air release valves, a manhole and related appurtenances.</li> </ul> <p>The proposed project allows the Town of Brownsburg to meet the current and future needs of their community and provides the capacity to eliminate failing septic systems in the future. Additionally, the redirection of flow from the Lake Ridge Lift Station makes available capacity that can be used during wet weather flows and reduce overflows in the system.</p>			

<b>Participant: LaGrange, Town of</b>			
Grant or Loan:	Loan	Loan Closed:	June 24, 2021
Loan Amount:	\$ 7,870,000	System Type:	Drinking Water
Affected Population:	2,625	AMP Certification:	June 24, 2021
Loan Term:	20	Regional Meeting Participation:	Attended
Interest Rate:	2.0%	Non-Revenue Water Audit:	Submitted
<p><b>Project Description:</b></p> <p>The Town of LaGrange’s drinking water treatment plant has been in operation for approximately 60 years. Treatment equipment is beyond its useful life, lacks redundancy, and is increasingly difficult to maintain. Existing Well No. 1 is no longer able to meet the capacity needs of the system. In addition, there is no SCADA system for monitoring and control of existing supply, treatment, or storage components.</p> <p>The Water Utility System Improvements Project includes:</p> <ul style="list-style-type: none"> <li>• New 1.0 MGD groundwater treatment plant at the existing north wellfield site, including a new building; filters; oxidation and disinfection chemical feed systems; finished water storage tanks; high service pumps with VFDs; laboratory; backwash detention ponds with overflow connection to sanitary sewer; SCADA system; ductile iron piping; backup power generator; and stormwater detention ponds with overflow piping and outfalls;</li> <li>• New supply Well No. 5, including a new well house, and pumps; and</li> <li>• New VFDs and SCADA controls at Well No. 5, at existing Well No. 3 and Well No. 4; and at existing north and south elevated water storage tanks.</li> </ul> <p>The proposed projects provide adequate finished water to the distribution system, increase reliability, and allow the utility to provide safe, reliable drinking water to its service area.</p>			

<b>Participant: Thorntown</b>			
Grant or Loan:	Loan	Loan Closed:	November 10, 2021
Loan Amount:	\$ 679,000	System Type:	Wastewater
Affected Population:	1,429	AMP Certification:	<i>pending</i>
Loan Term:	20 years	Regional Meeting Participation:	Attended
Interest Rate:	2.12%	Non-Revenue Water Audit:	n/a
<p><b>Project Description:</b></p> <p>The Town of Thorntown wastewater treatment plant is in need of capital improvements to meet current state and federal regulations. Due to increased flow from the collection system the Town’s treatment plant is close to exceeding the amount of sewage influent that can be adequately treated.</p> <p>The Project consists of the following improvements:</p> <ul style="list-style-type: none"> <li>• The expansion of the existing waste stabilization lagoon, including earthwork and construction of concrete structures and covers;</li> <li>• Construction of pump station;</li> <li>• Installation of blowers, diffusers, media, ammonia treatment reactor, piping and valving;</li> <li>• Chlorine/ dechlorination improvements;</li> <li>• Electrical work; and</li> <li>• Miscellaneous appurtenances</li> </ul> <p>The selected project will allow the wastewater treatment plant to treat the existing flows and protect the Sugar Creek from sanitary sewer overflows.</p>			

<b>Participant: Wakarusa</b>			
Grant or Loan:	Loan	Loan Closed:	November 18, 2021
Loan Amount A:	\$ 3,297,000	System Type:	Wastewater
Affected Population:	1,758	AMP Certification:	December 6, 2022
Loan Term:	20	Regional Meeting Participation:	Attended
Interest Rate:	2.12%	Non-Revenue Water Audit:	n/a
<p><b>Project Description:</b>            The Town of Wakarusa operates a combined sewer system and during wet weather events untreated wastewater is discharged to Wertz Ditch. To reduce these events, they Town is implementing an approved Combined Sewer Overflow (CSO) Long Term Control Plan (LTCP) to reduce the number of overflow events.</p> <p>The Project includes the construction of a CSO Storage Facility, which consists of:</p> <ul style="list-style-type: none"> <li>• Approximately 2,800 LF of 72-inch diameter pipe, arranged in rows;</li> <li>• Approximately 115 LF of connection piping</li> <li>• Associated site work, level metering and on-site hydrant.</li> </ul> <p>The CSO Storage Facility will provide storage for 590,000 gallons of combined sewage, allowing Wakarusa to reach full implementation of the CSO LTCP. During wet weather, combined sewage will be diverted from the existing Pump Station No. 1 into the CSO storage facility. After wet weather subsides, combined sewage will be diverted back into the system for treatment using existing Pump Station No. 1. Overflow events from CSO 002 to Wertz Ditch will be reduced.</p>			



<b>Participant: New Castle</b>			
Grant or Loan:	Grant	Loan Closed:	December 1, 2021
Grant Amount:	\$ 600,000	System Type:	Wastewater
Affected Population:	17,424	AMP Certification:	September 17, 2021
Loan Term:	n/a	Regional Meeting Participation:	Attended
Interest Rate:	n/a	Non-Revenue Water Audit:	n/a
<p><b>Project Description:</b></p> <p>The New Castle Correctional facility was in need of better access to wastewater water treatment and requested regionalization with the Town of New Castle.</p> <p>The project will install a new 16” force main to connect the jail to the New Castle collection system, and partially replace the existing water main in the corridor.</p> <p>The project will reduce operation and maintenance costs, eliminate the need to upgrade aging infrelimate an outfall,</p>			

<b>Participant: Elwood</b>			
Grant or Loan:	Forgivable Loan	Loan Closed:	March 15, 2022
Grant Amount:	\$ 1,466,000	System Type:	Wastewater
Affected Population:	8,449	AMP Certification:	<i>pending</i>
Loan Term:	n/a	Regional Meeting Participation:	Attended
Interest Rate:	0.00%	Non-Revenue Water Audit:	n/a
<p><b>Project Description:</b></p> <p>The area known as West Elwood is currently served by failing septic systems which are overflowing to area waters. The area was originally platted on small lots and most lots are too small to accommodate the installation of a replacement leach field, making onsite septic systems impossible for the project area.</p> <p>The eliminate these issues the Town of Elwood will extend sewer service to the project area. The project consists of:</p> <ul style="list-style-type: none"> <li>• Installation of approximately 16,500 LF of 1 1/4” through 3” low pressure sanitary sewer piping, and associated cleanouts and air/vacuum relief structures;</li> <li>• Installation of approximately 76 grinder pump stations including valve vaults; and</li> <li>• Closeout of approximately 76 septic tanks.</li> </ul> <p>The proposed project will address failing on-site septic systems in the unincorporated community of West Elwood. Flows will be transported to the City of Elwood’s Wastewater Treatment Plant for treatment.</p>			

<b>Participant: Dillsboro</b>			
Grant or Loan:	Loan and Grant	Loan Closed:	April 13, 2022
Loan Amount A:	\$ 441,000	System Type:	Drinking Water
Loan Amount B:	\$ 887,000		
Grant Amount:	\$ 1,328,000		
Affected Population:	2,000	AMP Certification:	<i>pending</i>
Loan Term A:	20 years	Regional Meeting Participation:	Attended
Loan Term B:	25 years		
Interest Rate A:	2.00%	Non-Revenue Water Audit:	Submitted
Interest Rate B:	2.10%		
<p><b>Project Description:</b></p> <p>The Town of Dillsboro purchases water from the Town of Aurora and maintains a distribution system that has had issues with main breaks and leaks. The Town is fed water from Aurora through a single 8” water main that was installed in the 1950s and is nearing the end of its useful life. The Town also has two elevated water storage tanks that were constructed in 1958 and 1972. The tanks have been well-maintained but require upgrades to meet current OSHA and AWWA standards including recoating of all surfaces.</p> <p>The Project consists of the following improvements to the Participant's System:</p> <ul style="list-style-type: none"> <li>• Rehabilitation and upgrades to the Town's 200,000 and 75,000-gallon Water Storage Tanks.</li> <li>• US 50 Water Main Replacement, including the installation of approximately 11,500 linear feet of new 10" SDR 21 PVC water main and all associated appurtenances from the point of connection with Aurora to the Town of Dillsboro along US 50.</li> <li>• Rump Road Water Main replacement including jack and bore of a new casing under US 50, and installation of approximately 165 linear feet of 6" restrained joint PVC water main.</li> <li>• State Road 262 Water Main Replacement, including the installation of approximately 5,000 linear feet of new 6" SDR 21 PC water main and all associated appurtenances from Rullman Road, south along State Road 262 to historical "Nike Base."</li> <li>• System-wide SCADA Improvements, including the replacement of the RTU panel, installation of PLC at the tanks and booster station, software updates, and a new computer.</li> <li>• Replacement of existing customer water meters with new remote read meters throughout the Town's distribution system, including meter reading equipment and billing software.</li> </ul> <p>The purpose of this project is to increase system reliability and efficiency, while reducing operational costs of the Town’s drinking water system. This project will ensure that the Town of Dillsboro will continue to supply sufficient and safe water to its customers.</p>			

**Participant: Adams County Regional Sewer District**

Grant or Loan:	Grant	Loan Closed:	April 29, 2022
Loan Amount A:	\$ 1,400,000	System Type:	Wastewater
Affected Population:	34,387	AMP Certification:	<i>pending</i>
Loan Term:	n/a	Regional Meeting Participation:	Attended
Interest Rate:	n/a	Non-Revenue Water Audit:	n/a

**Project Description:**

Rural residents in Adams County currently have no centralized or municipal wastewater collection and treatment system available to them and utilize onsite septic systems. Many systems are insufficient or failing and cannot be replaced due to soil or site limitations.

The Adams County RSD project will address the following areas of failing septic systems:

- Sunnybrook Addition - CR 100 W to CR 200 W - This project consists of a low-pressure collection system with grinder pump stations to serve the area north of Roe Acres and serves 86 homes. The project will also include a lift station and force main.
- Magley – CR 600 W - This project consists of a low-pressure collection system with grinder pump stations to serve the Magley area and serves 49 homes. The project also includes a lift station and approximately force main.
- Oakwood Addition (Yost Woods) – CR 300 N - This project consists of a low-pressure collection system with grinder pump stations to serve the Oakwood fringe area and serves 90 homes.
- Northwest Winchester Road – CR 200 W - This project consists of a low-pressure collection system with grinder pump stations to serve the NW Winchester Road area and will serve 64 homes.
- Clem’s Lake South – US 224 - This project consists of a low-pressure collection system with grinder pump stations to serve the NW Winchester Road area and serves 77 homes.

The proposed project will extend sanitary sewer service to the identified areas and eliminate approximately 366 failing septic systems. The new collection systems will discharge to the City of Decatur for treatment.

<b>Participant: Ridgeville</b>			
Grant or Loan:	Loan	Loan Closed:	June 14, 2022
Loan Amount:	\$ 1,406,500	System Type:	Wastewater
Affected Population:	544	AMP Certification:	<i>pending</i>
Loan Term:	20	Regional Meeting Participation:	Attended
Interest Rate:	2.00%	Non-Revenue Water Audit:	n/a
<p><b>Project Description:</b></p> <p>The Town of Ridgeville owns and operates an aging WWTP that has many components at the end of their useful life and cannot currently meet the phosphorus limits included in the Town’s NPDES permit. The Town’s collection system is deteriorated, and inflow and infiltration increase flows to the WWTP and strains the aging system. Two major lift stations are also at the end of useful life and no longer meeting the needs of the collection system.</p> <p>The Wastewater Utility Improvements Project includes:</p> <ul style="list-style-type: none"> <li>• Replacement of the Waltz Street Lift Station;</li> <li>• Rehabilitation of the Wastewater Treatment Plant Lift Station including pump replacement, wetwell rehabilitation, and electrical upgrades;</li> <li>• Manhole replacement or rehabilitation throughout the collection system;</li> <li>• Replacement of approximately 1,700 feet of 8-inch diameter sanitary sewer;</li> <li>• Rehabilitation of approximately 1,400 lineal feet of 8-inch and 10-inch diameter sanitary sewer using CIPP;</li> <li>• Installation of phosphorous removal equipment at the wastewater treatment plant including a chemical tank, chemical feed pumps, and associated piping, valves and electrical;</li> <li>• Rehabilitation/replacement of equipment at the wastewater treatment plant including headworks, sludge drying beds, clarifier skimmers, digester piping and blowers, aeration diffusers and blowers, effluent outfall, conversion of the gas chlorine disinfection system to liquid bleach disinfection and a remodel of the existing laboratory building</li> <li>• Separation of the sanitary and storm sewers on George Street with construction of a new 12-inch diameter storm drain.</li> </ul> <p>The proposed projects will replace equipment that has reached the end of its useful life, reduce infiltration and inflow into the collection system, and maintain compliance with the Compliance Schedule for meeting phosphorous limits. Separation of the sanitary and storm sewers on George Street is included and recommended in the PER; however, the work may not be completed as a result of easement concerns.</p>			

**Participant: West Terre Haute**

Grant or Loan:	Loan	Loan Closed:	June 16, 2022
Loan Amount:	\$ 1,652,000	System Type:	Wastewater
Affected Population:	2,236	AMP Certification:	March 5, 2021
Loan Term:	20	Regional Meeting Participation:	Attended
Interest Rate:	2.00%	Non-Revenue Water Audit:	n/a

**Project Description:**

The City of West Terre Haute’s wastewater treatment plant has many processes that are not meeting the needs of the system. The City has had difficulty meeting its Total Suspended Solids effluent limits during wet weather events due to high inflow and infiltration in the collection system. Upgrades are needed to ensure the staff is able to mitigate peak events and meet effluent limitations.

The project consists of:

- Headworks Facility – New influent macerator, mechanical fine screen, and an influent flow meter.
- Oxidation Ditch Facility – New DO probes and the replacement of the existing adjustable effluent weir
- Secondary Clarifier Facility – The replacement of the existing chain and rake sludge collection equipment and boxes, in addition to, new RAS and WAS flow meters with necessary piping modifications.
- Disinfection Facility – The modification of the existing chlorine contact tank, including the installation of a new US disinfection unit with UV bypass piping.
- Aerobic Digester Facility – The replacement of existing sludge transfer pumps, diffusers, and decant weirs.

This project is needed to meet the Town's NPDES permit requirements, improve treatment efficiency, and reduce maintenance concerns.

Participant: Cromwell			
Grant or Loan:	Forgivable Loan	Loan Closed:	June 28, 2022
Loan Amount:	\$ 2,625,000	System Type:	Drinking Water
Affected Population:	550	AMP Certification:	<i>pending</i>
Loan Term:	n/a	Regional Meeting Participation:	Attended
Interest Rate:	0.00%	Non-Revenue Water Audit:	Submitted
<p><b>Project Description:</b></p> <p>The Town of Cromwell drinking water treatment plant and wells are over twenty years old and currently in poor condition. The existing elevated storage tank was constructed in 1974 and is need of rehabilitation. The distribution system is experiencing significant water loss, has outdate meters, and inoperable hydrants.</p> <p>The Town of Cromwell Waterworks Improvements proposed project includes the following components:</p> <ul style="list-style-type: none"> <li>• Water Treatment Plant improvements, including the installation of a new gravity filter, a building addition, existing building upgrades, new high service pumps, a new generator, a new electrical room with VFDs, associated electrical upgrades, and new submersible pumps;</li> <li>• Supply well upgrades, including cleaning the wells and installation of new submersible pumps, new well motors with VFDs, new pitless adapters, new check valves, new temperature probes, new pressure transmitters, and new/replacement chain-link fencing;</li> <li>• Rehabilitation of the elevated storage tank;</li> <li>• Replacement of existing hydrants throughout the distribution system; and</li> <li>• Replacement of existing water meters including residential and large diameter master meters throughout the distribution system.</li> </ul> <p>The purpose of this project is to increase system reliability and efficiency, while reducing the operational costs of the Town’s drinking water system. This project will ensure that the Town of Cromwell will continue to supply sufficient and safe water to its customers.</p>			

<b>Participant: Hanover</b>			
Grant or Loan:	Loan	Loan Closed:	June 30, 2022
Loan Amount:	\$ 6,483,000	System Type:	Wastewater
Affected Population:	5,060	AMP Certification:	<i>pending</i>
Loan Term:	20	Regional Meeting Participation:	Attended
Interest Rate:	2.0%	Non-Revenue Water Audit:	n/a
<p><b>Project Description:</b></p> <p>The Town of Hanover operates a WWTP and serves the Town of Hanover and Hanover College. Sanitary sewer lines that exit the college property and connect to the Town’s collection system are anchored above ground to shallow bedrock on the slope of a steep, wooded hillside. The exposed pipes are subject to damage by falling tree branches and freezing temperatures and has led to illicit discharge. The Town also experiences sewage backups and surcharges in the Hanover Beach area. In addition, the influent lift station is undersized and UV disinfection system at the WWTP is in need of replacement.</p> <p><b>Improvements at the WWTP include:</b></p> <ul style="list-style-type: none"> <li>• New plant influent flow meter and meter vault;</li> <li>• Replacement influent lift station; and</li> <li>• Replacement UV disinfection system, including controls.</li> </ul> <p><b>Improvements in the collection system include:</b></p> <ul style="list-style-type: none"> <li>• Approximately 2,270 LF of 18-inch diameter replacement sanitary sewer with associated manholes and connections;</li> <li>• Approximately 1,420 LF of 10-inch diameter gravity sewer with associated manholes and connections;</li> <li>• Three individual grinder pump stations with associated force main; and</li> <li>• Two collection system lift stations with controls, backup power generator, automated transfer switch, site fencing, and associated force main.</li> </ul> <p>A plant influent flow meter is needed to measure peak flow rates, which can help identify fluctuations due to infiltration and inflow. A properly sized influent lift station will allow the plant to process current and 20-year flow rates. The existing UV disinfection system is past its useful life, and replacement allows the plant to reliably meet effluent water quality requirement. The collection system improvements will eliminate exposed sewer lines, hydraulic bottlenecks, surcharges, leaks, and limited access points in the existing system.</p>			

Participant: Whitley County			
Grant or Loan:	Grant	Loan Closed:	September 1, 2022
Loan Amount:	\$ 600,000	System Type:	Wastewater
Affected Population:	655	AMP Certification:	<i>pending</i>
Loan Term:	n/a	Regional Meeting Participation:	Attended
Interest Rate:	n/a	Non-Revenue Water Audit:	n/a
<p><b>Project Description:</b></p> <p>The Whitley County Health Department completed an assessment of the septic systems in the county and found that many existing systems were failing due to age, lack of maintenance, and poor soil conditions.</p> <p>To correct these issues the Whitley County RWSD has prioritized the following septic elimination projects.:</p> <ul style="list-style-type: none"> <li>• The Dunfee project includes the construction of small diameter low pressure sewer and the installation of grinder pump station connections. The new collection system will discharge to the existing Aqua Indiana wastewater facilities for treatment.</li> <li>• The Coesse project includes the construction of small diameter low pressure sewer, and the installation of grinder pump station connections. The new collection system will discharge to the existing Columbia City wastewater facilities for treatment.</li> <li>• The Churubusco West project includes the construction of conventional gravity sanitary sewer main, and lateral connections. Additionally, the construction of two pump stations with associated force main. The new collection system will discharge to the existing Town of Churubusco wastewater facilities for treatment.</li> <li>• The Churubusco South project includes the construction of conventional gravity sanitary sewer main, and lateral connections. Additionally, the construction of a pump station with associated force main. The new collection system will discharge to the existing Town of Churubusco wastewater facilities for treatment.</li> <li>• The East County Line Road South project includes the construction of conventional gravity sanitary sewer main, with lateral connections and one grinder station. Additionally, the construction of one pump station with associated force main. The new collection system will discharge to the existing Aqua Indiana wastewater facilities for treatment.</li> <li>• The Stable Acres project includes the construction of conventional gravity sanitary sewer main, with lateral connections, and grinder pump stations with small diameter low pressure main. The new collection system will discharge to the existing Aqua Indiana wastewater facilities for treatment.</li> <li>• Installation of SCADA system for operation of the new lift stations.</li> </ul> <p>The proposed septic elimination projects for unincorporated areas in Whitley County will eliminate approximately 279 failing septic systems and eliminate overflows to the environment.</p>			



<b>Participant: North Liberty</b>			
Grant or Loan:	Grant	Loan Closed:	September 27, 2022
Loan Amount:	\$ 340,000	System Type:	Drinking Water
Affected Population:	1,626	AMP Certification:	<i>pending</i>
Loan Term:	n/a	Regional Meeting Participation:	n/a
Interest Rate:	n/a	Non-Revenue Water Audit:	n/a
<p><b>Project Description:</b></p> <p>The Indiana Department of Natural Resources (IDNR) currently operates two aging water treatment systems at the Potato Creek State Parl. The IDNR is planning to construct an inn at the state park and wants to regionalize with the Town of North Liberty to serve the drinking water needs of the park and future inn. The Town of North Liberty intends to complete the regionalization project in the future and is identifying preliminary planning steps required to complete the project.</p> <p>The funding shall be used by the Town of North Liberty to purchase property for the future site of a water tower, and expansion for the Town’s water utility including a new well field and treatment plant. The property is approximately 31 acres and is located on Pierce Road in Liberty Township, St Joseph County in North Liberty, Indiana. The funding shall pay for the purchase price of the property and ancillary closing costs associated with the purchase of the property.</p> <p>By securing the property the project will not experience delays due to property purchase when they proceed with the construction of the drinking water infrastructure necessary to meet the needs of the State Park. The construction of the drinking water project is currently planned to begin in late 2023.</p>			

<b>Participant: Maysville RWSD</b>			
Grant or Loan:	Loan	Loan Closed:	September 30, 2022
Loan Amount:	\$ 2,137,000	System Type:	Drinking Water
Affected Population:	1,634	AMP Certification:	<i>pending</i>
Loan Term:	20	Regional Meeting Participation:	Attended
Interest Rate:	0.00%	Non-Revenue Water Audit:	Submitted
<p><b>Project Description:</b></p> <p>The Maysville RWSD utility currently has only one 100,000-gallon elevated storage tank, that is undersized for the system. The District intends to construct an additional storage tank at a property along SR 37. Before the property can serve as the site of the future water tank, existing fire protection infrastructure on the site must be removed and service must be extended to the property.</p> <p>The project consists of demolition of the existing fire protection elevated storage tank and the addition of a 6-inch fire service line and valve vault off the existing 10-inch water main, to provide service to the property owner.</p> <p>Once completed the project will allow for the construction of the necessary water storage tank to meet the capacity needs of the drinking water system.</p>			

<b>Participant: Tall Oaks</b>			
Grant or Loan:	Grant	Grant Awarded:	October 14, 2022
		Loan Closed:	January 31, 2023
Grant Amount:	\$ 615,725	System Type:	Wastewater
Loan Amount:	\$ 156,000		
Affected Population:	75	AMP Certification:	n/a
Loan Term:	10	Regional Meeting Participation:	n/a
Interest Rate:	0.00%	Non-Revenue Water Audit:	n/a
<p><b>Project Description:</b></p> <p>The Tall Oaks neighborhood is built around a manmade lake that included an aging dam. To access half the neighborhood residents are required to drive on top of the aging dam. The embankment was deteriorating and there was potential for loss of the road, dam, and contents of the lake.</p> <p>The financed project rehabilitated and repaired the existing dam and causeway, including a causeway crossing over the Participant's Lake. The project will maintain access to all homes in the community and protect the dam and lake from failure and reduces the risk of flooding downstream.</p>			

<b>Participant: Shoals</b>			
Grant or Loan:	Loan	Loan Closed:	December 2, 2022
Loan Amount:	\$ 505,000	System Type:	Wastewater
Affected Population:	677	AMP Certification:	August 19, 2021
Loan Term:	20	Regional Meeting Participation:	n/a
Interest Rate:	3.5%	Non-Revenue Water Audit:	n/a
<p><b>Project Description:</b></p> <p>The project consists of the current refunding, of the Participant's Sewage Works Revenue Bonds of 2003.</p>			

<b>Participant: Pretty Lake Conservancy District</b>			
Grant or Loan:	Loan	Loan Closed:	December 15, 2022
Forgivable Loan Amount:	\$ 1,000,000	System Type:	Wastewater
Loan Amount B:	\$ 1,106,000		
Affected Population:	423	AMP Certification:	<i>pending</i>
Loan Term:	20	Regional Meeting Participation:	Attended
Interest Rate:	3.50%	Non-Revenue Water Audit:	n/a
<p><b>Project Description:</b></p> <p>The Pretty Lake project area consists of 34 homes which were served by three mound septic systems with shared septic tanks and leach fields. One system had failed and was being pumped daily to avoid septic overflow. A site inspection determined that the leach field was also failing and would not meet the needs of the neighborhood. The project area is too built up and too close to a drinking water well to allow for the installation of a new mound system and leach field.</p> <p>To allow the Pretty Lake to properly treat waste for the neighborhood, the District:</p> <ol style="list-style-type: none"> <li>1. Installed approximately 1,200 lineal feet of low-pressure sanitary sewer force main, an air release valve, a flushing station, and three grinder pump stations to connect the project area; and</li> <li>2. The replacement of approximately six air release valves, twelve flushing stations, ten grinder pumps, a chemical feed tank, and a flow meter.</li> </ol> <p>The project will allow the Pretty Lake area to connect to the existing collection system, properly close the existing mounds systems, reduce operation and maintenance costs for the District, and eliminate the discharge of untreated waste to the environment.</p>			

Participant: Warsaw			
Grant or Loan:	Loan	Loan Closed:	December 15, 2022
Loan Amount:	\$ 3,490,000	System Type:	Wastewater
Affected Population:	15,865	AMP Certification:	<i>pending</i>
Loan Term:	17	Regional Meeting Participation:	Attended
Interest Rate:	0.00%	Non-Revenue Water Audit:	n/a
<p><b>Project Description:</b></p> <p>The Town of Warsaw collection system required a new lift station to provide sufficient service to the Airport project area. Additionally, the Warsaw Chemical site located at 390 Argonne Road has been under environmental regulatory oversight since 1988 when contaminated soils were identified. Comprehensive investigations and remedial technologies have been implemented to address historic sources of contamination in soil, groundwater, and vapor. Additional remediation and monitoring was needed before the site can be determined safe for use.</p> <p>The project will construct a new submersible lift station, associated force main, and all associated piping valves and appurtenances. The project will also install a new sanitary sewer including five new sanitary sewer manholes. The project will also consist of the final remediation of groundwater contamination at and emanating from the Warsaw Chemical site. The next steps of the Project include two years of groundwater sampling to monitor remediation progress, two years of vapor intrusion assessment of nearby structures and preferential pathways to verify no completed exposure pathways, recording Environmental Restrictive Covenants for residual impacted property, and developing the necessary lines of evidence to demonstrate that there are no unacceptable risks to human health and the environment.</p> <p>The project will allow for adequate sewer collection from the Airport project area and complete necessary remediation of the Warsaw Chemical site.</p>			

<b>Participant: Fishers</b>			
Grant or Loan:	Grant	Loan Closed:	March 9, 2023
Loan Amount:	\$ 215,000	System Type:	Wastewater
Affected Population:	90	AMP Certification:	n/a
Loan Term:	n/a	Regional Meeting Participation:	Attended
Interest Rate:	n/a	Non-Revenue Water Audit:	n/a
<p><b>Project Description:</b></p> <p>The Rosanna Village neighborhood in Fishers Indiana includes thirty-six homes that are served by failing septic systems.</p> <p>The City has hired a consulting engineer to design a low-pressure sanitary sewer system to serve the homes within the Rosanna Village neighborhood, generally located at 101<sup>st</sup> Street, east of Cyntheanne Road in Fishers, Indiana. The designed project will include components necessary to connect the homes to the existing Fishers collection system, including the mainline low pressure force main sewer, sewer laterals, grinder pump station, septic tank abandonment, flushing stations, and air release valves as required.</p> <p>The planning and design project will allow the Town to easily complete the design elements necessary to secure additional funding for the construction of the sewer. Once constructed, the sewer extension project will eliminate the discharge of untreated waste in the project area.</p>			

<b>Participant: Arcadia</b>			
Grant or Loan:	Loan	Loan Closed:	March 31, 2023
Loan Amount:	\$ 693,000	System Type:	Wastewater
Affected Population:	1,514	AMP Certification:	<i>pending</i>
Loan Term:	20	Regional Meeting Participation:	Attended
Interest Rate:	2.00%	Non-Revenue Water Audit:	n/a
<p><b>Project Description:</b></p> <p>Due to aging infrastructure and insufficient stormwater drainage, the Town of Arcadia experienced infiltration and inflow issues during wet weather events that overwhelmed the wastewater infrastructure and resulted in sanitary sewer overflows, and hydraulic overload. The excessive flow to the treatment plant caused the system to short-circuit which led to the discharge of undertreated sewage.</p> <p>The Project consists of the following improvements to the system:</p> <ul style="list-style-type: none"> <li>• WWTP Improvements includes the conversion of an existing polishing pond to an equalization basin, the installation of approximately 500 feet of 15-inch gravity sanitary sewer along with manholes, a bypass structure, and the refurbishment of the existing oxidation ditch and clarifier equipment.</li> <li>• Sanitary and Stormwater Improvements includes the separation of cross connected storm and sanitary sewers at several locations, plugging a sanitary sewer overflow, and installing new gravity sanitary sewer, force main, a lift station, and storm sewer with manholes and inlet structures.</li> <li>• Sanitary Sewer System Rehabilitation consists of rehabilitating portions of the existing collection system with cured-in-place pipe.</li> </ul> <p>The project will improve stormwater drainage, decrease infiltration and inflow in the collection system, and improve the resilience of the wastewater treatment plant during wet weather events.</p>			

<b>Participant: New Castle</b>			
Grant or Loan:	Grant	Loan Closed:	April 27, 2023
Loan Amount:	\$ 18,876	System Type:	Wastewater
Affected Population:	17,424	AMP Certification:	September 17, 2021
Loan Term:	n/a	Regional Meeting Participation:	Attended
Interest Rate:	n/a	Non-Revenue Water Audit:	n/a
<p><b>Project Description:</b></p> <p>An inspection of the White Estates Subdivision in Henry County by IDEM found a discharge of sewage from a storm sewer into a small stream. As a result, the County entered into an Agreed Order with IDEM in 2017 and submitted a Compliance Plan in 2018.</p> <p>The White Estates Sanitary Sewer Project includes the installation of 234 grinder pumps, approximately 6,500 feet of low-pressure sanitary sewer lateral piping, and approximately 19,500 feet of low-pressure sanitary sewer main. This new network of low-pressure sanitary sewer will be connected to existing gravity sewer main and conveyed to the City of New Castle's existing wastewater treatment plant for treatment.</p> <p>This project will address the violations by collecting wastewater for treatment from the properties in the White Estates subdivision. A Funding Agreement to complete the project was closed November 2018. The project is nearing completion and additional funding is needed for costs associated with the Project described above. The grant being provided will cover the additional costs and allow for the completion of the project.</p>			

<b>Participant: Chesterfield</b>			
Grant or Loan:	Loan	Loan Closed:	May 3, 2023
Loan Amount:	\$ 335,000	System Type:	Wastewater
Affected Population:	2,336	AMP Certification:	March 4, 2023
Loan Term:	20	Regional Meeting Participation:	Attended
Interest Rate:	2.00%	Non-Revenue Water Audit:	n/a
<p><b>Project Description:</b></p> <p>The Town of Chesterfield owns and operates a 1 MGD Aero-Mod wastewater treatment plant (WWTP). The city has completed a Phase 1 project to improve Lift Stations in the system and complete improvements at the WWTP. Since the completion of Phase 1, the plant's UV disinfection system experienced complete and catastrophic failure. The Town staff was required to manually feed chlorination and dechlorination chemicals into the system to maintain compliance with the Town's NPDES permit.</p> <p>In order to allow the WWTP to properly disinfect waste, the community will install new UV disinfection equipment at the Town's WWTP along with a new air dryer / compressor system to support the existing aeration basin controls.</p> <p>Once complete the new UV disinfection system will reduce operation and maintenance concerns and allow the system to properly treat wastewater.</p>			